

Nicholas Gervasi

Associate

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Practices

FOCUS: Financial Markets and Funds

Education

JD, Fordham University School of Law, *cum laude*

MS, Columbia University

M. Arch, Tulane University School of Architecture

B. Arch, Tulane University School of Architecture

Harvard Business School Online, *Certificate in Sustainable Investing*

Bar Admissions

New York

Community Involvements

American Bar Association, Disaster Legal Services Committee

Association of Securities and Exchange Commission Alumni

New York City Bar Association, Housing & Urban Development Committee

Nicholas Gervasi supports financial services clients, such as investment advisors, broker-dealers and hedge funds, in their regulatory and transactional matters. He provides a business-oriented approach to complex regulatory challenges.

Designing client-specific solutions centered on efficiency and resiliency

From his training as an architect and designing buildings against many zoning constraints, Nick understands how to balance competing interests between the law and client goals. Before joining Katten, he worked at a nonprofit where he experienced firsthand the importance of creative problem-solving within a tight budget. During law school, he learned about the dynamic between regulators and registrants by interning for the Federal Reserve Bank of New York, the US Securities and Exchange Commission, and the quantitative investment management arm of a global asset manager.

Publications

- Financial Markets and Funds *Quick Take* | Issue 26 (May 2024)
- Financial Markets and Funds *Quick Take* | Issue 24 (March 2024)
- Congressional Insider Trading Deteriorates Democracy by Creating Distrust in Government | *Fordham Law Voting Rights and Democracy Forum* (March 21, 2023)
- Blacking Out Congressional Insider Trading: Overlaying a Corporate Mechanism Upon Members of Congress and Their Staff to Curtail Illegal Profiting | *Fordham Journal of Corporate & Financial Law*, Vol. 28 (February 3, 2023)