

# Senior Associate Finance and Financial Markets

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Christopher Collins works with financial services clients on regulatory and compliance matters. He represents European and non-European clients including proprietary trading firms, investment managers, hedge funds, broker-dealers, trading venues and clearinghouses. Previously, Chris worked in the legal and compliance department of a global asset manager. He dealt with the day-to-day issues faced by firms that depend on the financial markets to drive their business. This means he is uniquely qualified to advise international firms about any cross-border issues they may have.

#### Firsthand industry experience helps provide first-rate legal solutions

Many of Chris's clients are international companies and therefore require an understanding of the cross-border issues and opportunities that may arise for their businesses. In some cases they're entering new markets; in others, planning for future regulatory reform. Chris helps these clients navigate the panoply of European and UK regulations affecting them as they pursue their business aims. He offers them considered recommendations, presenting them with their best options when dealing with the rules affecting trading in financial instruments.

Chris joined Katten Muchin Rosenman UK LLP as a trainee in 2016 and qualified as a solicitor in 2017.

Before that, he worked for three and a half years in the legal and compliance department of AllianceBernstein. He also volunteered at the Citizens Advice Bureau, a charity providing face-to-face advice and information to the public.

### Practices

- Cryptoassets and Blockchain Technology
- Financial Markets and Funds
- Futures and Derivatives
- Investment Management and Trading
- Proprietary Trading Firms
- Quantitative and Algorithmic Trading
- Regulated Funds
- Structured Finance and Securitization
- Structured Products

#### Industries

• Finance and Financial Markets

#### Education

LLB, University of Sussex

#### **Bar Admissions**

- England and Wales
- New York

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## Recognitions

Recognized or listed in the following:

- The Legal 500 United Kingdom
  - o Recommended Attorney, 2022, 2024

### News

- UK Pro Bono Collaborative Plan's Pro Bono Recognition List Names 17 Katten Lawyers (April 11, 2025)
- Christopher Collins Discusses MTF Registration Compliance With The Full FX (May 28, 2024)
- Katten Advises Areim in First Joint Venture European Acquisition With VGP (May 21, 2024)
- Katten Advises Areim AB in Complex Joint Venture Structure With VGP NV (January 5, 2024)
- Katten Acclaimed in The Legal 500 United Kingdom 2024 Guide (October 4, 2023)
- *Law360* Speaks With Christopher Collins on the FCA's High Rejection Rate of Crypto Firm Registration Applications (February 2, 2023)
- Christopher Collins Shares With *Risk.net* the EU Regulatory Challenges Facing Stablecoins (June 29, 2022)
- Katten UK Receives Numerous Distinctions in The Legal 500 United Kingdom 2022 Guide (October 4, 2021)

### Publications

- UK Financial Insights from Katten | Issue 24 (July 2025)
- UK Financial Insights from Katten | Issue 23 (June 2025)
- Financial Markets and Funds Quick Take | Issue 39 (June 2025)
- UK Financial Insights from Katten | Issue 22 (May 2025)
- Financial Markets and Funds Quick Take | Issue 38 (April 2025)
- UK Financial Insights from Katten | Issue 21 (April 2025)
- Financial Markets and Funds Quick Take | Issue 37 (April 2025)
- HM Treasury and FCA Proposals to Reform Regulation of UK AIFMs (April 16, 2025)
- UK Financial Insights from Katten | Issue 19 (February 2025)

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- Financial Markets and Funds Quick Take | Issue 35 (February 2025)
- UK Financial Insights from Katten | Issue 18 (January 2025)
- UK Financial Insights from Katten | Issue 17 (December 2024)
- Financial Markets and Funds Quick Take | Issue 33 (December 2024)
- UK Financial Insights from Katten | Issue 16 (November 2024)
- Financial Markets and Funds *Quick Take* | Issue 32 (November 12, 2024)
- UK Financial Insights from Katten | Issue 15 (October 2024)
- Financial Markets and Funds *Quick Take* | Issue 31 (October 2024)
- Privacy, Data and Cybersecurity Quick Clicks | Issue 21 (September 2024)
- UK Financial Insights from Katten | Issue 14 (September 2024)
- Financial Markets and Funds *Quick Take* | Issue 30 (September 12, 2024)
- Capital Markets: Derivatives 2024: Trends & Developments England and Wales (September 4, 2024)
- Capital Markets: Derivatives 2024: Law & Practice England and Wales (September 4, 2024)
- UK Financial Insights from Katten | Issue 13 (August 2024)
- Financial Markets and Funds *Quick Take* | Issue 29 (August 2024)
- UK Financial Insights from Katten | Issue 12 (July 2024)
- Financial Markets and Funds Quick Take | Issue 27 (June 2024)
- UK Financial Insights from Katten | Issue 11 (May 2024)
- UK Financial Insights from Katten | Issue 10 (April 2024)
- Privacy, Data and Cybersecurity Quick Clicks | Issue 16 (April 18, 2024)
- Financial Markets and Funds Quick Take | Issue 25 (April 2024)
- Privacy, Data and Cybersecurity Quick Clicks | Issue 15 (March 2024)
- UK Financial Insights from Katten | Issue 9 (March 2024)
- Financial Markets and Funds Quick Take | Issue 24 (March 2024)
- UK Financial Insights from Katten | Issue 8 (February 2024)
- Financial Markets and Funds Quick Take | Issue 23 (February 2024)
- UK Financial Insights from Katten | Issue 7 (January 2024)

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- UK Financial Insights from Katten | Issue 6 (December 2023)
- Financial Markets and Funds Quick Take | Issue 21 (December 2023)
- UK Financial Insights from Katten | Issue 5 (November 28, 2023)
- Financial Markets and Funds Quick Take | Issue 20 (November 2023)
- UK Financial Insights from Katten | Issue 4 (October 2023)
- Financial Markets and Funds Quick Take | Issue 19 (October 2023)
- UK Financial Insights from Katten | Issue 3 (September 2023)
- Financial Markets and Funds Quick Take | Issue 18 (September 2023)
- UK Financial Insights from Katten | Issue 2 (August 2023)
- Financial Markets and Funds Quick Take | Issue 17 (August 2023)
- Financial Markets and Funds Quick Take | Issue 16 (July 2023)
- UK Financial Insights from Katten | Issue 1 (July 2023)
- Financial Markets and Funds Quick Take | Issue 15 (June 13, 2023)
- Financial Markets and Funds Quick Take | Issue 14 (May 30, 2023)
- Financial Services and Markets Bill and Edinburgh Reforms: Will they spark the Big Bang 2.0? (March 28, 2023)
- Are we on the cusp of a 'Big Bang 2.0' for financial trading and markets? (March 7, 2023)
- Crypto, Asset Management and Tax: A Big Step Forward for the UK in 2023 | *Thomson Reuters Regulatory Intelligence* (January 12, 2023)
- Financial Markets and Funds Quick Take | Issue 9 (December 13, 2022)
- Financial Markets Regulation COVID-19 Resource Center (October 22, 2020)
- LIBOR, Brexit and Cryptoassets: Summer Takeaways From Katten's CFWD Podcasts (August 21, 2020)
- Short Sale Restrictions Response to Recent Market Volatility (March 17, 2020)
- Brexit Update: What You Need to Know About the UK Parliamentary Vote (December 7, 2018)
- <u>Corporate & Financial Weekly Digest</u> (Weekly) | <u>Author</u>

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### **Presentations and Events**

- 2023 Crypto with Katten London Symposium (March 1, 2023)
- Law & Compliance Division Conference 2022 (April 27–29, 2022) |
  Panelist | See EU Soon
- Crypto from the Rockies: Recent Updates in Crypto Legal and Regulatory Developments and an Interview with Tung Chan, Commissioner, Colorado Division of Securities (February 16, 2022) | Speaker