



Sean Geercken

Associate

Financial Markets and Funds

New York Office | +1.212.940.8525

sean.geercken@katten.com

Sean Geercken focuses his practice on Investment Management and Funds. He advises clients on fund formation issues as well as other transactional matters in the investment management and funds space.

Creativity is the cornerstone of effective advocacy

During law school, Sean served as the executive diversity and inclusion editor of the *Vanderbilt Journal of Transnational Law* and worked for the Vanderbilt Intellectual Property and Arts Clinic. These experiences allowed him to hone his legal writing skills and at the same time develop a creative yet pragmatic approach to addressing complex legal issues.

Publications

- SEC Extends Compliance Dates for the 'Names Rule' Amendments (March 31, 2025)
- SEC Issues Updated 'Names Rule' FAQ (February 6, 2025)
- SEC Division of Examinations Highlights Common Deficiencies in Registered Investment Company Core Examination Areas (November 12, 2024)

Practices

- Financial Markets and Funds

Education

- JD, Vanderbilt University Law School
- BA, Emory University

Bar Admissions

- New York