



# Stanley V. Polit

Partner

Financial Markets and Funds

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**Stanley Polit concentrates his practice on helping American and international financial institutions navigate complex domestic and cross-border transactional and regulatory matters. He routinely advises private fund managers, proprietary trading firms, registered investment advisers and other financial institutions regarding a host of investment management matters, including those related to fund formation and compliance issues and US and foreign regulatory license applications.**

## Helping clients navigate cross-border challenges

Stan knows that when financial institutions want to expand globally, they need an adviser who intimately understands their business and legal needs across multiple jurisdictions. As clients embark on this process, Stan is able to leverage his extensive cross-border private funds and regulatory experience to help them develop pragmatic and efficient strategies for growth.

Because of the increasingly global nature of the private funds and proprietary trading industries, Stan frequently counsels clients on both regulatory and corporate matters related to the establishment and ongoing operations of their regulated US and international affiliates. He frequently leads cross-border legal teams tasked with handling all initial and ongoing regulatory and corporate issues related to such entities.

Prior to joining Katten, Stan served as a council member for a national crisis management firm, where he specialized in crisis communication and merged media strategies. He has lectured extensively and conducted trainings related to these topics at numerous national and international conferences.

During law school, Stan received numerous public interest fellowships and awards for his work with the Penn Housing Rights Project, a student-led pro bono project that provides free legal assistance to those facing landlord-tenant disputes. He continues to maintain an active pro bono practice.

## Practices

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- Broker-Dealer Regulation
- Corporate
- Financial Markets and Funds
- Futures and Derivatives
- Mergers and Acquisitions
- Private Equity and Real Estate Fund Formation
- Proprietary Trading Firms

## Industries

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- Finance and Financial Markets

## Education

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- JD, University of Pennsylvania Law School
- MA, George Mason University
- BS, Northwestern University, *magna cum laude, with honors*

## Bar Admissions

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- Illinois

## Community Involvements

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- American Bar Association
- The Chicago Bar Association

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## Recognitions

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*Recognized or listed in the following:*

- *Best Lawyers in America*
  - Ones to Watch
    - Leveraged Buyouts and Private Equity Law, 2023–2025
- National Forensics Association Hall of Fame
  - 2023

## News

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- Katten Attorneys Recognized as *Best Lawyers®* and *Best Lawyers: Ones to Watch®* Award Recipients (August 15, 2024)
- Katten Attorneys Distinguished by *Best Lawyers®* (August 17, 2023)
- Katten Promotes 10 Attorneys to Partner (August 15, 2023)
- Katten Attorneys Recognized by *Best Lawyers®* (August 18, 2022)
- Katten Attorneys Lauded for Pro Bono Service (October 27, 2021)
- Katten Represents Global Trading Systems in BNP Paribas Deal (December 12, 2017)
- Katten Represents Gerchen Keller Capital in Acquisition by Burford Capital Limited (December 14, 2016)

## Publications

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- Financial Markets and Funds *Quick Take* | Issue 32 (November 12, 2024)
- Private Fund Advisers Rules in Retrospect: Just Sound and Fury, Signifying Nothing? (October 22, 2024)
- SEC Proposes Fiduciary Rule for Broker-Dealers (May 10, 2018)
- [Corporate & Financial Weekly Digest](#) (Weekly) | [Author](#)

## Presentations and Events

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- Investing for Tomorrow: Health Care Institutions as Investors, Strategic Investment Partners and Entrepreneurs – Part 1 (December 13, 2023)