



# Brian J. Poronsky

Partner

Litigation

Chicago Office | +1.312.902.5611

brian.poronsky@katten.com

**Brian Poronsky defends trading firms, investment banks, and futures and securities brokers in litigation and regulatory investigations involving futures and securities trading. With an exclusive focus on disputes in the financial services industry, Brian brings a strong understanding of his clients' business operations, structures, goals and regulatory pressures to every matter.**

## **An exclusive focus on financial services regulation and litigation**

With deep knowledge of the futures and securities industry, and an ability to understand and analyze complex financial instruments and trading strategies, Brian represents broker-dealers, futures commission merchants, banks, and public and private companies before the SEC, CFTC, exchanges and other self-regulatory organizations. He also has an active litigation and arbitration practice, successfully representing financial services clients in state and federal courts and before arbitration panels. Brian has experience in all stages of litigation, including pre-litigation counseling, dispositive motion practice, mediation and hearings on the merits.

## **Recognitions**

*Recognized or listed in the following:*

- *Super Lawyers Rising Stars*
  - Illinois, 2014–2016
- *Leading Lawyers Network*
  - Emerging Lawyer, 2015

## **News**

- Katten Represents Copy-Trading Platform dub in Seed Funding Round (February 23, 2024)
- Forty-Seven Katten Attorneys Recognized in 2016 Illinois *Super Lawyers* List (January 8, 2016)

## **Practices**

- Broker-Dealer Regulation
- Cryptoassets and Blockchain Technology
- Financial Markets Litigation and Enforcement
- Futures and Derivatives
- Investment Management and Trading
- Litigation
- Quantitative and Algorithmic Trading
- Securities Litigation

## **Industries**

- Finance and Financial Markets

## **Education**

- JD, Northwestern University School of Law, *cum laude*
- BS, DePaul University

## **Bar Admissions**

- Illinois

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- Fifty-Three Katten Attorneys Recognized in 2015 Illinois *Super Lawyers* List (January 12, 2015)
- Katten Names Sixteen New Partners in Five Practice Areas (August 5, 2014)
- Fifty-Four Katten Attorneys Recognized in 2014 Illinois *Super Lawyers* List (January 13, 2014)
- Judge Awards Three African-American Men \$1.44 Million in Hate Crimes Lawsuit Against Four Members of White Mob (February 3, 2011)
- Katten Announces Recipients of Ninth Annual Pro Bono Service Awards (July 31, 2008)

## Publications

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- Will History Repeat Itself? Peering Into the Past to Predict the Next Four Years of CFTC Enforcement Actions (January 28, 2025)
- Federal Courts Side With Strip Clubs in Opposing the SBA's Ineligibility Rules for the Paycheck Protection Program, Possibly Signaling a Broader Trend (June 5, 2020)

## Presentations and Events

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- A Beacon Into 2021 Crypto Industry, Legal and Regulatory Developments Year to Date (June 2, 2021) | *Speaker*
- Trade Practices 2021: Law and Regulation (March 9, 2021) | *Moderator*
- Market Correction Curriculum: Margin Liquidations (May 19, 2020) | *Co-presenter*
- Trading Practices — A 2020 Update (February 19, 2020) | *Speaker*
- Exchange Investigations & Enforcement: Insights and Trends (February 28, 2018) | *Panelist* | *Exchange Investigations*
- Financial Services Litigation Symposium (October 6, 2015) | *Host*