

# Christopher T. Shannon

*Partner and Chair, Financial Transactions and Trading*

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## Practices

FOCUS: Financial Markets and Funds  
Broker-Dealer Regulation  
Cryptoassets and Blockchain Technology  
Mergers and Acquisitions  
Private Equity  
Proprietary Trading Firms  
Quantitative and Algorithmic Trading

## Industries

Finance and Financial Markets  
Manufacturing and Industrials Private Equity

## Education

JD, The University of Chicago Law School  
BA, Salve Regina University

## Bar Admissions

Illinois

## Community Involvements

American Bar Association  
American Red Cross, Auxiliary Board  
E-Council for the Network for Teaching Entrepreneurship

Christopher Shannon represents private equity funds, hedge funds, proprietary trading firms and broker-dealers in a broad range of transactional and corporate matters.

## Advising on acquisitions, investments and financings

Chris advises both public and private companies in complex transactions including M&A, divestitures, leveraged buyouts, private equity and venture capital investments, recapitalizations and private financings. He also guides clients through complex transactions involving broker-dealers and proprietary trading firms.

In addition to his transactional work, Chris provides general corporate counseling on matters including executive compensation and equity-incentive arrangements.

## Recognitions

*Recognized or listed in the following:*

- *Super Lawyers Rising Stars*
  - Illinois, 2008–2010

## News

- Cboe Global Markets Company Closes Its Acquisition of Katten Client ErisX (May 4, 2022)
- Coronavirus (COVID-19) Resource Center (November 10, 2021)

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- Katten Represents ErisX in Its Acquisition by Cboe Global Markets (October 21, 2021)
- Katten Team Represents Headlands Tech Holdings in Broker-Deal Transaction (March 23, 2021)
- Katten Represents Global Trading Systems in BNP Paribas Deal (December 12, 2017)
- Katten Represents Gerchen Keller Capital in Acquisition by Burford Capital Limited (December 14, 2016)
- Katten Names 12 New Partners in Five Practice Areas (June 1, 2012)

## Publications

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- Financial Markets and Funds *Quick Take* | Issue 26 (May 2024)
- Financial Markets and Funds *Quick Take* | Issue 25 (April 2024)
- Financial Markets and Funds *Quick Take* | Issue 24 (March 2024)
- Financial Markets and Funds *Quick Take* | Issue 23 (February 2024)
- Financial Markets and Funds *Quick Take* | Issue 22 (January 2024)
- Financial Markets and Funds *Quick Take* | Issue 21 (December 2023)
- Financial Markets and Funds *Quick Take* | Issue 20 (November 2023)
- Financial Markets and Funds *Quick Take* | Issue 19 (October 2023)
- Financial Markets and Funds *Quick Take* | Issue 18 (September 2023)
- Financial Markets and Funds *Quick Take* | Issue 17 (August 2023)
- Financial Markets and Funds *Quick Take* | Issue 14 (May 30, 2023)
- Financial Markets and Funds *Quick Take* | Issue 13 (April 25, 2023)
- Financial Markets and Funds *Quick Take* | Issue 11 (February 21, 2023)
- Financial Markets and Funds *Quick Take* | Issue 10 (January 13, 2023)
- Financial Markets and Funds *Quick Take* | Issue 9 (December 13, 2022)
- Financial Markets and Funds *Quick Take* | Issue 8 (November 14, 2022)

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- Financial Markets and Funds Quick Take | Issue 7 (October 12, 2022)
- Financial Markets and Funds Quick Take | Issue 6 (September 15, 2022)
- Financial Markets and Funds Quick Take | Issue 5 (August 16, 2022)
- Financial Markets and Funds Quick Take | Issue 4 (July 19, 2022)
- Financial Markets and Funds Quick Take | Issue 3 (June 14, 2022)
- Financial Markets and Funds Quick Take | Issue 2 (May 11, 2022)
- Financial Markets and Funds Quick Take | Issue 1 (April 13, 2022)
- Going Direct – The Factors Driving Direct Investment Strategies and the Barriers to Adoption (July 26, 2021)
- LIBOR Preparedness Exams Are Coming – Is Your Firm Ready? (June 6, 2020)
- SEC Enforcement Actions Against Fund Advisers Continues (May 15, 2020)
- New York Proposes New Rules to Modernize Securities Filings Affecting Private Funds and Significant Exam and Registration Requirements on "Finders" (May 8, 2020)
- COVID-19: Considerations for Private Investment Fund Managers (March 17, 2020)
- *Corporate & Financial Weekly Digest (Weekly) | Author*