

Christopher T. Shannon

Partner and Chair, Financial Transactions and Trading

Chicago Office

+1.312.902.5322

chris.shannon@katten.com



Practices

FOCUS: Financial Markets and Funds
Broker-Dealer Regulation
Cryptoassets and Blockchain Technology
Mergers and Acquisitions
Private Equity
Proprietary Trading Firms
Quantitative and Algorithmic Trading

Industries

Finance and Financial Markets
Manufacturing and Industrials Private Equity

Education

JD, The University of Chicago Law School
BA, Salve Regina University

Bar Admissions

Illinois

Community Involvements

American Bar Association
American Red Cross, Auxiliary Board
E-Council for the Network for Teaching
Entrepreneurship

Christopher Shannon represents private equity funds, hedge funds, proprietary trading firms and broker-dealers in a broad range of transactional and corporate matters.

Advising on acquisitions, investments and financings

Chris advises both public and private companies in complex transactions including M&A, divestitures, leveraged buyouts, private equity and venture capital investments, recapitalizations and private financings. He also guides clients through complex transactions involving broker-dealers and proprietary trading firms.

In addition to his transactional work, Chris provides general corporate counseling on matters including executive compensation and equity-incentive arrangements.

Recognitions

Recognized or listed in the following:

- *Super Lawyers Rising Stars*
 - Illinois, 2008–2010
- *The Legal 500 United States*
 - Recommended Attorney, 2024

News

- Katten Highly Ranked in Legal 500 United States 2024 (June 12, 2024)

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- Cboe Global Markets Company Closes Its Acquisition of Katten Client ErisX (May 4, 2022)
- Coronavirus (COVID-19) Resource Center (November 10, 2021)
- Katten Represents ErisX in Its Acquisition by Cboe Global Markets (October 21, 2021)
- Katten Team Represents Headlands Tech Holdings in Broker-Deal Transaction (March 23, 2021)
- Katten Represents Global Trading Systems in BNP Paribas Deal (December 12, 2017)
- Katten Represents Gerchen Keller Capital in Acquisition by Burford Capital Limited (December 14, 2016)
- Katten Names 12 New Partners in Five Practice Areas (June 1, 2012)

Publications

- Financial Markets and Funds *Quick Take* | Issue 28 (July 2024)
- Financial Markets and Funds *Quick Take* | Issue 27 (June 2024)
- Financial Markets and Funds *Quick Take* | Issue 26 (May 2024)
- Financial Markets and Funds *Quick Take* | Issue 25 (April 2024)
- Financial Markets and Funds *Quick Take* | Issue 24 (March 2024)
- Financial Markets and Funds *Quick Take* | Issue 23 (February 2024)
- Financial Markets and Funds *Quick Take* | Issue 22 (January 2024)
- Financial Markets and Funds *Quick Take* | Issue 21 (December 2023)
- Financial Markets and Funds *Quick Take* | Issue 20 (November 2023)
- Financial Markets and Funds *Quick Take* | Issue 19 (October 2023)
- Financial Markets and Funds *Quick Take* | Issue 18 (September 2023)
- Financial Markets and Funds *Quick Take* | Issue 17 (August 2023)
- Financial Markets and Funds *Quick Take* | Issue 14 (May 30, 2023)

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- Financial Markets and Funds Quick Take | Issue 13 (April 25, 2023)
- Financial Markets and Funds Quick Take | Issue 11 (February 21, 2023)
- Financial Markets and Funds Quick Take | Issue 10 (January 13, 2023)
- Financial Markets and Funds Quick Take | Issue 9 (December 13, 2022)
- Financial Markets and Funds Quick Take | Issue 8 (November 14, 2022)
- Financial Markets and Funds Quick Take | Issue 7 (October 12, 2022)
- Financial Markets and Funds Quick Take | Issue 6 (September 15, 2022)
- Financial Markets and Funds Quick Take | Issue 5 (August 16, 2022)
- Financial Markets and Funds Quick Take | Issue 4 (July 19, 2022)
- Financial Markets and Funds Quick Take | Issue 3 (June 14, 2022)
- Financial Markets and Funds Quick Take | Issue 2 (May 11, 2022)
- Financial Markets and Funds Quick Take | Issue 1 (April 13, 2022)
- Going Direct – The Factors Driving Direct Investment Strategies and the Barriers to Adoption (July 26, 2021)
- LIBOR Preparedness Exams Are Coming – Is Your Firm Ready? (June 6, 2020)
- SEC Enforcement Actions Against Fund Advisers Continues (May 15, 2020)
- New York Proposes New Rules to Modernize Securities Filings Affecting Private Funds and Significant Exam and Registration Requirements on "Finders" (May 8, 2020)
- COVID-19: Considerations for Private Investment Fund Managers (March 17, 2020)
- *Corporate & Financial Weekly Digest (Weekly) | Author*