

Robert Weiss

Senior Counsel

New York Office

+1.212.940.8584

robert.weiss@katten.com



Practices

FOCUS: Financial Markets and Funds

Capital Markets

Corporate

Corporate Governance

Futures and Derivatives

Investment Management and Funds

Mergers and Acquisitions

Structured Products

Industries

Finance and Financial Markets

Education

JD, Columbia Law School, *Harlan Fiske Stone Scholar*

BA, Brooklyn College, *summa cum laude*

Community Involvements

American Bar Association, Business Law Section, Hedge Fund, Federal Regulation of Securities Committee and Legal Opinion Committees

New York State Bar Association

In Memoriam

The Katten community grieves the unexpected loss of our dear friend and colleague Robert Weiss, who passed away on Tuesday, November 29, 2022. Known for his kindness and generosity of spirit, Bob was a respected legal advisor across the financial services sector.

Bob spent the bulk of his legal career at Katten, joining the firm in 1998, where his blend of corporate transactional experience with hedge fund expertise worked to his clients' advantage. He advised investment managers, financial institutions, investment funds, family offices and other clients on hedge fund-related matters and on financings, joint ventures, securities and acquisition transactions.

Bob, who had a gift for clearly distilling and communicating complex topics, lectured extensively on a variety of legal topics including private fund lending, swaps and derivatives, and limited liability companies. He was a longtime editor of Katten publications, including *Corporate & Financial Weekly Digest* and *Financial Markets and Funds Quick Take*, which he helped launch in 2022.

After graduating *summa cum laude* from Brooklyn College, Bob earned his law degree from Columbia Law School, where he was a Harlan Fiske Stone Scholar. He will be remembered fondly as a man of faith, for his lively sense of humor, and as a devoted husband and father.

Robert Weiss

Senior Counsel

Recognitions

Recognized or listed in the following:

- JD Supra Reader's Choice - Top Author
 - International Law, 2018–2019

News

- Katten Attorneys Recognized as Top Authors by JD Supra (March 20, 2018)

Publications

- Financial Markets and Funds Quick Take | Issue 8 (November 14, 2022)
- Financial Markets and Funds Quick Take | Issue 7 (October 12, 2022)
- Financial Markets and Funds Quick Take | Issue 6 (September 15, 2022)
- Financial Markets and Funds Quick Take | Issue 5 (August 16, 2022)
- Financial Markets and Funds Quick Take | Issue 4 (July 19, 2022)
- Financial Markets and Funds Quick Take | Issue 3 (June 14, 2022)
- Financial Markets and Funds Quick Take | Issue 2 (May 11, 2022)
- Financial Markets and Funds Quick Take | Issue 1 (April 13, 2022)
- Department of Labor Finalizes 18-Month Extension for Simplified Compliance With the BIC Exemption Under the ERISA Fiduciary Advice Rule (November 30, 2017)
- Sign of Future Changes? DOL Proposes 18-Month Extension of Transition Period for Compliance With ERISA "Fiduciary Investment Advice" Rule (August 11, 2017)

Robert Weiss

Senior Counsel

- Compliance With the ERISA Fiduciary Advice Rule for Private Investment Fund Managers and Sponsors and Managed Account Advisers: Beginning June 9, 2017 (June 6, 2017)
- Registered Investment Advisers Take Note: New SEC Custody Rule Guidance (March 7, 2017)
- US Reporter Deadlines for Bureau of Economic Analysis BE-180 Report (September 17, 2015)
- BEA Filing Deadline Looms For US Persons With Foreign Affiliates (May 29, 2015)
- Marketing Investment Management Services to Public Retirement Systems: Complying with Applicable Laws and Regulations (August 5, 2013)
- SEC Proposes Rule Amendments to Permit General Solicitation in Rule 506 and 144A Offerings, Including Offerings by Hedge Funds and Other Private Funds (September 17, 2012)
- Financial Reform Bill Reshapes Investment Adviser Regulation (July 29, 2010)
- Power of Attorney Law Changes in New York on September 1, 2009 (August 27, 2009)
- *Corporate & Financial Weekly Digest (Weekly) | Author*