Sarah Weber

Partner

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Practices

FOCUS: Financial Markets Litigation and Enforcement
Antitrust and Competition Litigation
Litigation
Monitorships
White Collar and Internal Investigations

Industries

Finance and Financial Markets

Education

JD, The University of Chicago Law School
BA, Duke University

Bar Admissions

Illinois
New York

Court Admissions

US District Court, Northern District of Illinois
US District Court, Southern District of New York

Sarah Weber helps clients facing litigation and enforcement matters with a focus on the financial services industry. The team relies on her experience with regulatory and internal investigations, federal and state court litigation, arbitration, regulatory and enforcement proceedings, white collar criminal matters, and internal investigations.

Adding value through regulatory insight

In the financial services industry, Sarah has represented US and multinational banks, investment advisors and managers, and hedge funds in litigation, arbitration and investigations related to economic sanctions, anti-money laundering, wire fraud, contracts, securities, employment and antitrust. She has a broad range of experience in connection with criminal, civil and regulatory investigations by the Commodity Futures Trading Commission (CFTC), the Securities and Exchange Commission (SEC), the Department of Justice (DOJ), the New York Attorney General, the New York Department of Financial Services, the Federal Reserve Bank of New York and Federal Reserve Board of Governors, the Office of Foreign Assets Control (OFAC), and the UK Financial Conduct Authority.

Media companies, manufacturing companies, public and private corporations, nonprofit organizations, and others work with Sarah to address matters including contract disputes, employment class actions, antitrust consent decrees, and False Claims Act litigation and investigations.

Before joining Katten, Sarah worked at a large New York-based law firm. She also clerked for the Honorable Susan Phillips Read on the New York Court of Appeals. While attending law school, Sarah was a member of the *University of Chicago Law Review*.

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Representative Experience

- Represent large broker-dealer in antitrust class action and related litigation involving equities trading.
- Represent investment banks in False Claims Act litigation related to municipal bonds in Illinois state court.
- Advise financial services institutions regarding economic sanctions enforcement matters.
- Represented Chief Financial Officer of a defense contractor in civil False Claims Act investigation by the Department of Justice.
- Represented multinational bank in economic sanctions investigations by various US and foreign civil, criminal and regulatory agencies.*
- Represented Wall Street bank in two multibillion-dollar AAA arbitrations stemming from a contract dispute following the 2008 financial crisis.*
- Represented manufacturing company in False Claims Act litigation in federal court.*
- Represented agricultural commodities company in federal and state court litigation and AAA arbitration related to a chemical supply contract.*

*Experience prior to Katten

Recognitions

Recognized or listed in the following:

- *The Legal 500 United States*
  - Recommended Attorney, 2023

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News

- Katten Lauded in The Legal 500 United States 2023 (June 9, 2023)
- *Law360* Celebrates Katten's New Partner Class (February 8, 2021)
- Katten Announces New Partner Class (October 30, 2020)

Publications

- Financial Markets and Funds *Quick Take* (Newsletter) | *Author*
- *United States ex rel. Polansky v. Executive Health Resources, Inc, et al.*: Supreme Court Clarifies Standard Under Which Government Can Intervene and Dismiss FCA Actions (June 16, 2023)
- FINRA Proposes Changes to Arbitrator List Selection Process (March 22, 2023)
- Global Financial Institutions Legal Departments Share D&I Successes and Lessons Learned (December 17, 2021)
- Second Circuit Opinion Allows Market Manipulation Claims Based on Hedging Activity (May 27, 2021)

Presentations and Events

- Market Correction Curriculum: Nontraditional ETFs (May 12, 2020) | *Co-presenter*