

Grace A. Caputo

Associate

Dallas Office

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Practices

FOCUS: Litigation
Commercial Litigation
Health Care
White Collar and Internal Investigations

Education

JD, The University of Texas School of Law,
with honors
BA, Southern Methodist University, *magna
cum laude*

Bar Admissions

Texas

Court Admissions

US District Court, Northern District of Texas
US District Court, Southern District of Texas
US District Court, Eastern District of Texas
US District Court, Western District of Texas

Community Involvements

Dallas Bar Association
Women's White Collar Defense Association

Grace Caputo focuses her practice on white-collar defense, internal investigations and commercial litigation matters.

Using effective representation and advocacy to defend others

Grace defends companies and executives in government investigations, civil enforcement actions and criminal proceedings, with a focus on matters involving securities or health care fraud. She also represents clients in a variety of industries in commercial disputes.

In addition to her investigations and litigation practice, Grace counsels companies and executives on assessing and integrating environmental, social and governance (ESG) strategies into their internal and external operations.

Prior to joining Katten, Grace interned at the Office of the Federal Public Defender in the Western District of Texas.

Representative Experience

- Conduct internal investigation for pharmaceutical company into regulatory risks in the U.S. and abroad.
- Defend pharmacist in alleged \$170 million health care fraud scheme.
- Defend multibillion-dollar multi-national conglomerate and executive in SEC investigation into alleged fraudulent misrepresentations in securities offerings and violations of the Investment Advisors Act by an affiliate.
- Defend high-profile owner and executive in complex multibillion-dollar financial misconduct investigation by the Department of Justice.
- Defend election official in the Office of Attorney General's investigation into potential election fraud.
- Defended publicly traded leading clinical genetic testing company in SEC investigation and obtained closing letter.
- Represented one of the country's largest industrial gas companies in an

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arbitration alleging breach of contract violations and obtained a settlement of over \$500 million dollars.

News

- *The Texas Lawbook* Interviews Mark Solomon and Grace Caputo on the Dallas Office's 2023 Pro Bono Work (February 13, 2024)
- Katten Honors Attorneys for Providing Pro Bono Services (October 30, 2023)
- *Texas Lawbook* Reflects on Five Years of Katten in Dallas in Q&A With Mark Solomon (April 14, 2023)
- Katten and McDonald's Pro Bono Team Wins Asylum for Family Fleeing Gang Violence in El Salvador (May 25, 2022)

Publications

- The Evolving Landscape of COVID-19 Fraud Enforcement (March 21, 2023)
- COVID-19 Enforcement Under the Biden Administration — Winding Down or Just Getting Started? (Winter/Spring 2023)
- Deputy Attorney General Monaco Reiterates DOJ's Focus on Prosecuting Individuals and Announces New Guidelines for Prosecuting Corporate Crime (September 16, 2022)
- Attorney General Garland Announces Renewed and Expanded Focus on Prosecuting Corporate Crime and Executives (March 7, 2022)
- COVID-19 Enforcement: What Does the Future Hold? (Winter/Spring 2022)