

Sarah Eichenberger

Partner

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Practices

FOCUS: Securities Litigation

Litigation

White Collar and Internal Investigations

Industries

Energy

Finance and Financial Markets

Pharmaceutical and Life Sciences

Technology

Education

JD, Duke University School of Law, *magna cum laude*

BA, Dartmouth College, *Phi Beta Kappa*,
summa cum laude

Bar Admissions

New York

Court Admissions

US District Court, District of Colorado

US District Court, District of Columbia

US District Court, Eastern District of New York

US District Court, Southern District of New York

Sarah Eichenberger is a trusted securities litigator who has won victories for her clients in a range of high-stakes securities actions. With over a decade of experience defending public companies, officers, directors and financial institutions, Sarah draws on both her prior experience at a global law firm and her role as in-house counsel for a Fortune 5 company to provide clients with astute counsel. An insightful advocate, Sarah has helped clients successfully navigate a range of complex matters, including securities class actions, derivative litigation, internal investigations and regulatory proceedings. She also has significant experience defending clients in post-merger litigation.

Insightful counsel when the stakes are at their highest

Sarah understands the unique needs and challenges faced by public companies. As someone who is cognizant of the business and legal aspects of litigation, Sarah brings a practical approach to crafting a successful defense strategy and ensuring the best possible outcomes for her clients.

Sarah has additional experience representing clients in connection with Foreign Corrupt Practices Act (FCPA) investigations, cybersecurity incidents and white collar criminal matters. She also is well-versed in a variety of complex commercial litigation, including consumer class actions.

Sarah previously clerked for the Honorable Richard J. Leon, District Judge for the US District Court for the District of Columbia. While in law school, she was a Notes Editor for the *Duke Law Journal*.

Representative Experience

- Represented a global media company in derivative litigation arising out of a merger.*
- Represented a technology company and certain officers and directors in

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connection with securities and derivative litigation.*

- Represented a global financial institution in Section 14(a) litigation arising out of its merger with another bank.*
- Represented the Board of a major utility company in derivative litigation.*
- Represented an aerospace and defense technology company in securities, derivative, and ERISA litigation as well as in a related SEC investigation.*
- Represented a commercial bank in residential mortgage-backed securities actions.*
- Represented a mortgage loan provider in residential mortgage-back securities actions.*
- Represented the Board of a Fortune 50 company in connection with stockholder derivative demands regarding oil reserves.*
- Represented a multi-billion dollar asset manager in a cross-border investigation focused on disclosure and trading practices.*
- Represented a healthcare technology company in Section 14(a) litigation concerning its proposed merger with an insurance company.*
- Represented an investment management firm in bankruptcy matters arising out of investments made with Bernard Madoff.*
- Represented the Board of a non-profit organization in an investigation concerning the use of donor funds.*
- Represented an organic and natural products company with an SEC investigation into accounting practices.*
- Represented the Board of a large software company in connection with an internal investigation.*

*Experience prior to Katten

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Recognitions

Recognized or listed in the following:

- *Benchmark Litigation*
 - Under 40 Hotlist, 2021
- *Super Lawyers Rising Stars*
 - New York, 2023
- *Chambers USA*
 - Litigation: Securities, 2024

News

- Katten Receives High Marks in *Chambers USA* Guide 2024 (June 6, 2024)
- Katten Attorneys Named to 2023 New York Super Lawyers, Rising Stars Lists (September 29, 2023)
- Katten Expands NYC Securities Litigation Group with Sarah Eichenberger (October 17, 2022)

Publications

- *ESG Guidepost* | Issue 13 (April 2024)
- Financial Markets and Funds *Quick Take* | Issue 23 (February 2024)
- Guest Post: Supreme Court Considers Whether Pure Omissions Can Support Section 10(b) Liability | *The D&O Diary* (February 6, 2024)
- Risk Factor Disclosures Should Not Create More Risk for Companies (February 17, 2023)

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- Financial Markets and Funds Quick Take | Issue 11 (February 21, 2023)
- Artificial Intelligence: The New Frontier for Board Oversight? (December 20, 2022)
- DOJ Reverses Course on Definition of 'Property' for Fraud on Blaszczyk Remand | NYU School of Law *Compliance & Enforcement* blog (April 15, 2021)
- Maximizing Protection Over Sensitive Cyber Forensic Reports in Light of Recent Disclosure Orders | *New York Law Journal* (March 22, 2021)

Presentations and Events

- Audit Committees, Special Committees, and Internal Investigations 2024 (January 11, 2024) | *Panelist*
- Chubb Preferred Securities Panel Counsel Conference (June 27, 2023) | *Panelist*
- Audit Committees, Special Committees, and Internal Investigations 2023 (January 12, 2023) | *Panelist*
- C-Suite Summit 2022 (October 18, 2022) | *Moderator*