

Michael J. Diver

*Partner and Co-Chair, Securities Litigation Department and
Co-Chair, Securities Enforcement Defense*

Chicago Office

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Practices

FOCUS: Securities Litigation
Broker-Dealer Regulation
Capital Markets
Corporate Governance
Fiduciary and Private Client Litigation
Financial Markets Litigation and Enforcement
Futures and Derivatives
Government and Public Finance
Investment Management and Funds
Litigation
Monitorships
Securities Enforcement Defense
White Collar and Internal Investigations

Industries

Education
Energy
Finance and Financial Markets
Pharmaceutical and Life Sciences
Technology

Education

JD, Lewis & Clark Law School
BA, Bucknell University

Bar Admissions

Illinois
New York

Court Admissions

US District Court, Northern District of Illinois

Community Involvements

Michael Diver has built a national practice advising public companies, financial services firms and individuals in securities litigation and regulatory investigations. In these high-stakes matters, Michael's past experience as a branch chief in the Enforcement Division of the Securities and Exchange Commission (SEC) gives him valuable perspective, and he has a long track record of achieving very favorable results for his clients.

As an Enforcement Division branch chief, Michael managed a team of SEC enforcement attorneys in investigating and prosecuting securities law violations, including matters involving complex financial fraud, market manipulation, insider trading and violations of broker-dealer, investment adviser and investment company regulations.

Applying his government experience in private practice, Michael represents public companies, financial institutions and their individual officers and directors in regulatory matters and civil litigation. With over 20 years of experience, Michael is adept at devising effective defense strategies to address legal risks across a broad spectrum of circumstances. He is often called upon to defend clients that are facing concurrent private actions and regulatory investigations, and to defend against claims involving complex legal or compliance issues.

In addition to handling investigations and litigation, he also regularly advises clients in connection with corporate governance matters, shareholder claims, financial restatements, internal investigations and Financial Industry Regulatory Authority, Inc. (FINRA) and stock exchange inquiries.

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American Bar Association

Association of Securities and Exchange
Commission Alumni

The Chicago Bar Association

"Mike is smart, strategic and very attentive. Mike not only understands the law, he gets the PR, political and corporate issues surrounding a matter." "He's very practical, easy to work with and a very capable lawyer."

*- Chambers USA 2023
(Illinois, Litigation:
Securities) survey
response*

Recognitions

Recognized or listed in the following:

- *Benchmark Litigation*
 - National Practice Area Star, 2022
- *BTI Client Service All-Stars*
 - 2014
- *Chambers USA*
 - Securities: Regulation: Enforcement, 2018–2019
 - Litigation: Securities, 2019-2023
- *Super Lawyers*
 - Illinois, 2017–2024
- *The Legal 500 United States*
 - Next Generation Lawyer, 2019–2020
 - Recommended Attorney, 2015–2017, 2020–2023
 - Leading Lawyer, 2021–2023

News

- Katten Attorneys Named to 2024 Illinois Super Lawyers, Rising Stars Lists (January 25, 2024)
- Katten Lauded in The Legal 500 United States 2023 (June 9, 2023)
- Katten Boosts Rankings in *Chambers USA Guide 2023* (June 1, 2023)
- Katten Attorneys Selected to 2023 Illinois Super Lawyers, Rising Stars Lists (January 24, 2023)
- Katten Awarded Top Ranking in Structured Finance: Securitization in The Legal 500 United States 2022 (June 8, 2022)
- Katten Ranked Leading Law Firm by *Chambers USA 2022* (June 1, 2022)