

Ted S. Helwig

Of Counsel

Chicago Office

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Practices

FOCUS: Litigation

Financial Markets Litigation and Enforcement

Investment Management and Funds

Securities Litigation

White Collar and Internal Investigations

Industries

Finance and Financial Markets

Education

JD, Loyola University Chicago School of Law

BA, North Park University

Bar Admissions

Illinois

Court Admissions

US District Court, Northern District of Illinois, Trial Bar

US District Court, Central District of Illinois

US Court of Appeals, Third Circuit

US Court of Appeals, Seventh Circuit

Community Involvements

American Bar Association

Futures Industry Association (FIA)

Legal Assistance Foundation of Metropolitan Chicago, Board of Directors

North Park Friendship Center, chair, Board of Directors

Securities Industry and Financial Markets Association

The Chicago Bar Association

Ted Helwig has represented clients in enforcement proceedings before government and self-regulatory agencies and exchanges. Ted is a former Assistant US Attorney and recognized as a leading lawyer for white-collar crime by industry publications such as *The Legal 500 US* and *The Best Lawyers in America*. Ted also served as Katten's general counsel for more than 11 years, and he remains a deputy general counsel.

A leading litigator and former AUSA

Ted is particularly experienced in matters regarding financial products, including derivatives, options, commodities, swap contracts and futures. He counsels clients involved in SEC, CFTC, FINRA and exchange enforcement investigations. He also advises individuals and companies under grand jury investigation or indictment and conducts a wide range of internal investigations for clients involving potential violations of federal laws and regulations.

Before joining the firm, Ted served in the US Attorney's Office for the Northern District of Illinois as an Assistant US Attorney and Deputy Chief of the Criminal Receiving and Appellate Division, where he was responsible for cases involving complex loan transactions, financial, insurance and tax fraud, health care fraud, commodities fraud and appeals

Recognitions

- *Best Lawyers in America*
 - Criminal Defense: White-Collar | Litigation – Securities, 2008–2021
- Super Lawyers
 - Illinois, 2005–2018, 2020

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- The Legal 500 United States
 - Recommended Attorney, 2018

News

- Katten Attorneys Distinguished as Top Legal Talent in the 2021 *Best Lawyers in America* and *Best Lawyers: Ones to Watch* Lists (August 20, 2020)
- Katten Attorneys Selected to 2020 Illinois Super Lawyers, Rising Stars Lists (January 29, 2020)
- Katten Distinguished by *The Legal 500 United States* (June 14, 2018)
- Katten Attorneys Recognized in 2018 Illinois Super Lawyers, Rising Stars Lists (January 25, 2018)
- Katten Attorneys Recognized in 2017 Illinois *Super Lawyers*, Rising Stars Lists (January 13, 2017)
- 79 Katten Attorneys Selected for *Best Lawyers in America*® 2017 (August 15, 2016)
- Forty-Seven Katten Attorneys Recognized in 2016 Illinois *Super Lawyers* List (January 8, 2016)
- 91 Katten Attorneys Recognized in *Best Lawyers in America*® 2016 (August 17, 2015)
- Fifty-Three Katten Attorneys Recognized in 2015 Illinois *Super Lawyers* List (January 12, 2015)
- 85 Katten Attorneys Named to *Best Lawyers in America*® 2015 (August 18, 2014)
- Fifty-Four Katten Attorneys Recognized in 2014 Illinois *Super Lawyers* List (January 13, 2014)
- 83 Katten Attorneys Lauded in *Best Lawyers in America*® 2014 (August 15, 2013)

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- Sixty-Nine Katten Attorneys Included on 2013 Illinois *Super Lawyers* List (January 4, 2013)
- 80 Katten Attorneys Named to *Best Lawyers in America*® 2013 Guide (August 24, 2012)
- Eighty-Six Katten Attorneys Named 2012 Illinois Super Lawyers (February 28, 2012)
- 75 Katten Attorneys Named to *Best Lawyers in America*® 2012 Guide (September 12, 2011)
- 70 Katten Attorneys Named to *Best Lawyers in America*® 2011 (August 9, 2010)
- 66 Katten Attorneys Named to 2010 List of *Best Lawyers in America*® (August 5, 2009)
- 50 Katten Attorneys Named to 2009 List of *Best Lawyers in America*® (September 23, 2008)
- 46 Katten Attorneys Named to 2008 List of *Best Lawyers in America*® (October 31, 2007)

Presentations and Events

- 16th Annual Bench & Bar Conference (June 18–21, 2014) | *Panelist* | *Ethics & Civility*
- ICSC 2013 US Shopping Center Law Conference (October 17, 2013) | *Panelist* | *Navigating Law Firm Conflicts of Interest: What In-House and Outside Counsel and Clients Should Know*