

Robo-Advisers: More Complex Than They May Appear

August 7, 2017

The article analyzes the growing trend of investment firms including robo-advisers as part of their service offerings. The Securities and Exchange Commission's (SEC) Information Guidance and Investor Alert from February 2017 indicated that "robo-advisers, like all registered investment advisers, are subject to the substantive and fiduciary obligations of the Advisers Act." The article provides insight into the challenges robo-advisers face in terms of compliance with disclosure requirements, fiduciary obligations and other regulatory responsibilities.

[Read "Robo-Advisers: More Complex Than They May Appear" in its entirety here.](#)

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



Richard D. Marshall

+1.212.940.8765

richard.marshall@katten.com