Katten

ARTICLE



The Impact of Dodd-Frank and Other Reforms on Alternative Investment Vehicles: A Brief Guide

Published in the Practical Law Investment Funds Multi-Jurisdictional Guide 2014/15

June 2014

This article discusses how the US Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010 has significantly altered the US regulatory environment for investment fund managers. The resultant reforms are outlined, along with how the registration requirements are affecting investment fund managers. The new regulatory regimes for the investment funds market brought about by the Dodd-Frank Act and the US Jumpstart Our Business Startups (JOBS) Act are highlighted, including the regulation of swaps and the Volcker rule.

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



Henry Bregstein +1.212.940.6615 henry.bregstein@katten.com

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion. ©2025 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at katten.com/disclaimer.