

Jamie N. Noonan

Associate

Securities Litigation

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Jamie Noonan concentrates her practice on litigation and enforcement matters with a focus on federal securities laws and the financial services industry. She represents public companies, financial institutions, and their individual officers and directors in regulatory matters and civil litigation, including complex commercial disputes, securities class action and derivative suits, regulatory investigations before the Securities and Exchange Commission (SEC) and the Department of Justice (DOJ), and internal investigations.

Trusted advocacy when it counts

Jamie guides clients through high-stakes securities enforcement, regulatory, and litigation matters at every stage. Drawing on years of experience across the financial services and corporate landscape, she helps clients navigate complex legal issues, from government investigations to hard-fought civil litigation. Her practice spans defending companies and individuals in SEC and DOJ investigations, litigating securities class actions and shareholder derivative suits, and conducting sensitive internal investigations for boards, audit committees, and senior management. Clients also turn to Jamie to address a variety of other complex commercial disputes, including fiduciary duty and breach of contract litigation.

Representative Experience

- Represented the former CEO of a public healthy beverage company in SEC enforcement litigation in the District of Colorado and obtained a favorable settlement.
- Obtained declinations from the DOJ and SEC in the representation of an asset-management firm, portfolio managers, and a trader involved in an insider-trading investigation.
- Obtained a declination from the SEC in the representation of a leading public biopharmaceutical company in an SEC investigation arising from the company's financial restatements.

Practices

- Financial Markets Litigation and Enforcement
- Litigation
- Securities Enforcement Defense
- Securities Litigation
- White Collar and Internal Investigations

Education

- JD, Chicago-Kent College of Law, Illinois Institute of Technology, *magna cum laude, Order of the Coif*
- BA, Creighton University, *cum laude*

Bar Admissions

- Illinois

Court Admissions

- US District Court, District of Colorado
- US District Court, Northern District of Illinois

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- Obtained a declination from the SEC in the representation of a publicly traded manufacturing company in an investigation arising from the company's financial restatements and alleged mismanagement at the senior level.
- On behalf of the audit committee of a publicly traded company, conducted an internal investigation into potential accounting misstatements relating to several quarter-end and year-end adjustments and potential "tone at the top" issues at the senior management level.
- On behalf of an emerging technology company, conducted an internal investigation into allegations that company management misappropriated corporate funds and engaged in other alleged misconduct.
- On behalf of a publicly traded manufacturing company, conducted an internal investigation into allegations that certain commercial practices created material risks of financial misstatements.

Publications

- Every Prompt Leaves a Record: How Businesses Can Manage Litigation Risk From Everyday AI Use (October 30, 2026)
- Your AI Chats May Be Discoverable: Preservation and Privilege Considerations for Generative AI Prompts and Outputs (October 29, 2026)
- Financial Markets and Funds *Quick Take* | Issue 43 (October 30, 2025)
- Financial Markets and Funds Quick Take | Issue 6 (September 15, 2022)
- FINRA Proposes Trade Reporting Requirements for OTC Options Transactions (September 7, 2022)
- SEC Chairman Announces Plans for Further Regulation of Rule 10b5-1 Plans (June 21, 2021)