



Alexa Warner

Associate

Financial Markets Litigation and Enforcement

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Alexa Warner is a seasoned litigator whose practice spans state and federal courts, as well as high-stakes commercial and financial markets arbitrations before forums including FINRA, JAMS, the National Futures Association and the Singapore International Arbitration Centre. She has worked on complex matters for a diverse client base, ranging from global banks and investment firms to emerging funds and corporate executives, securing outcomes that include dispositive motion victories, favorable settlements, and confirmed arbitration awards.

Dedication to clients for effective advocacy

Clients turn to Alexa for her business-first judgment, meticulous preparation and advocacy skills. She integrates rigorous factual development with strategic advocacy — examining experts and fact witnesses, managing discovery end-to-end, and briefing trial, dispositive and appellate issues — to align litigation strategy with enterprise objectives and deliver results that matter.

Representative Experience

- Represent a global bank seeking damages, contribution, and indemnification based on negligence, negligent misrepresentation, breach of contract, and violations of UCC Articles 8-401 and 8-407, as well as contractual indemnity tied to defendant transfer agent's assumption of a prior business line, in a multimillion-dollar federal court case.
- Provided strategic advice and assisted financial market clients responding to enforcement investigations.
- Won judgment on the pleadings in federal court for a real estate developer in coverage litigation brought by its title insurer, defeating rescission/reformation theories and securing an order requiring coverage for a forged-deed title defect.

Practices

- Commercial Litigation
- Financial Markets Litigation and Enforcement
- International Arbitration
- Litigation
- Real Estate Litigation

Industries

- Finance and Financial Markets

Education

- JD, Northwestern University, *cum laude*
- BA, Loyola Marymount University, *magna cum laude*

Bar Admissions

- North Carolina
- Illinois

Court Admissions

- US Supreme Court
- US District Court, Northern District of Illinois
- US District Court, Western District of North Carolina

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- Obtained appointment of a receiver, approval of a substitute trustee, and leave to proceed with foreclosure on behalf of a secured commercial real estate lender.
- Secured business-driven resolutions in mediation, including in an international cryptocurrency dispute and a complex construction case, avoiding the cost and uncertainty of prolonged litigation.
- Defended a major commodities firm in National Futures Association arbitrations arising from a 2018 natural-gas market disruption, including drafting motions, cross-examining fact witnesses, directing expert witnesses, and preparing pre- and post-hearing briefs.
- Defended a leading investment firm in FINRA arbitrations arising from a yield-enhancement options strategy, conducting expert and fact examinations and driving a cohesive defense strategy.
- Represent a major financial institution in leasing disputes and related strategy.

Publications

- Financial Markets and Funds *Quick Take* | Issue 50 (May 2026)
- Regulators Hit Reverse: SEC and CFTC Move to Scale Back Form PF (April 30, 2026)
- Financial Markets and Funds *Quick Take* | Issue 49 (April 2026)
- Financial Markets and Funds *Quick Take* | Issue 48 (March 2026)
- Privacy, Data and Cybersecurity *Quick Clicks* | Issue 6 (June 2023)
- Is Your AI Intelligent Enough? The CFPB Says It Will Actively Police the Use of Chatbots and Warns of Risks (June 15, 2023)
- *ESG Guidepost* | Issue 1 (April 27, 2023)