

Alexa Warner

Associate

Financial Markets Litigation and Enforcement

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Alexa Warner represents financial institutions, investment firms, funds and exchanges in litigation, arbitration and regulatory enforcement matters. Her work involves disputes and advisory matters relating to commodities, derivatives, digital assets, securities, event contracts and prediction markets. Alexa litigates in state and federal courts and before arbitral forums including FINRA, JAMS, the National Futures Association and the Singapore International Arbitration Centre. She has experience in derivatives regulatory and enforcement proceedings before the CFTC and SEC, and counsels clients navigating complex compliance and transactional issues in the digital asset space.

Dedication to clients for effective advocacy

Clients turn to Alexa for her business-first judgment, meticulous preparation and advocacy skills. She integrates rigorous factual development with strategic advocacy, examining experts and fact witnesses, end-to-end discovery management and briefing trial, dispositive and appellate issues, to align litigation strategy with enterprise objectives and deliver results that matter.

Alexa is also recognized for her sustained commitment to pro bono service.

Representative Experience

- Represent a global bank in suit against transfer agent, in a multimillion-dollar federal court case.
- Represented an international bank in a CME Market Regulation investigation.
- Represented a proprietary trading firm in a CME investigation.
- Represent co-founder of a multimillion-dollar cryptocurrency investment fund in a co-founder dispute and derivative action.

Practices

- Cryptoassets and Blockchain Technology
- Financial Markets Litigation and Enforcement
- Futures and Derivatives
- International Arbitration
- Litigation
- Securities Litigation

Industries

- Finance and Financial Markets

Education

- JD, Northwestern University, *cum laude*
- BA, Loyola Marymount University, *magna cum laude*

Bar Admissions

- North Carolina
- Illinois

Court Admissions

- US Supreme Court
- US District Court, Northern District of Illinois
- US District Court, Western District of North Carolina

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- Secured business-driven resolutions in mediation, including in an international cryptocurrency dispute and a complex construction case, avoiding the cost and uncertainty of prolonged litigation.
- Represented a registered exchange before the CFTC in connection with regulatory oversight of event-based contracts, including drafting advocacy materials, researching federal preemption and gaming-law issues, and addressing public-interest arguments.
- Coordinated cross-matter eDiscovery and document productions in SEC and DOJ investigations involving a digital asset exchange.
- Defended a futures commission merchant in multiple National Futures Association arbitrations.
- Defended a leading investment firm in FINRA arbitrations arising from a yield-enhancement options strategy, conducting expert and fact examinations and driving a cohesive defense strategy.
- Secured judgment on the pleadings in federal court for a real estate developer in coverage litigation brought by its title insurer.
- Obtained appointment of a receiver, approval of a substitute trustee, and leave to proceed with foreclosure on behalf of a secured commercial real estate lender.
- Represent a major financial institution in leasing disputes and related strategy.
- Represented defendant in suit arising from a failed real estate acquisition.
- Defended a global bank in a $40 million breach of contract suit in federal court.

Publications

- Trading on Integrity: The Forthcoming Reckoning for Surveillance in Prediction Markets (October 7, 2026)
- Turning Uncertainty Into Prices: The Emergence of Federally Regulated Event Contract Markets (2026)
- Financial Markets and Funds *Quick Take* | Issue 51 (June 2026)
- Game Plan or Game Changer? The CFTC Proposes New Rules for Event Contracts and Prediction Markets (June 26, 2026)
- Perpetual Futures Come Onshore: The CFTC's New Regulatory Framework (June 4, 2026)
- Financial Markets and Funds *Quick Take* | Issue 50 (May 2026)

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- Regulators Hit Reverse: SEC and CFTC Move to Scale Back Form PF (April 30, 2026)
- Financial Markets and Funds *Quick Take* | Issue 49 (April 2026)
- Financial Markets and Funds *Quick Take* | Issue 48 (March 2026)
- Privacy, Data and Cybersecurity *Quick Clicks* | Issue 6 (June 2023)
- Is Your AI Intelligent Enough? The CFPB Says It Will Actively Police the Use of Chatbots and Warns of Risks (June 15, 2023)
- *ESG Guidepost* | Issue 1 (April 27, 2023)

Presentations and Events

- Navigating the New Digital Frontier — Digital Assets, Prediction Markets, and the Investment Adviser's Playbook (October 13–14, 2026)