

Sarah Eichenberger

Partner

Securities Litigation

New York Office | +1.212.940.8788

sarah.eichenberger@katten.com

Sarah Eichenberger is a trusted securities litigator who has won victories for her clients in a range of high-stakes securities actions. With over a decade of experience defending public companies, officers, directors and financial institutions, Sarah draws on both her prior experience at a global law firm and her role as in-house counsel for a Fortune 5 company to provide clients with astute counsel. An insightful advocate, Sarah has helped clients successfully navigate a range of complex matters, including securities class actions, derivative litigation, internal investigations and regulatory proceedings. She also has significant experience defending clients in post-merger litigation.

Insightful counsel when the stakes are at their highest

Sarah understands the unique needs and challenges faced by public companies. As someone who is cognizant of the business and legal aspects of litigation, Sarah brings a practical approach to crafting a successful defense strategy and ensuring the best possible outcomes for her clients.

Sarah has additional experience representing clients in connection with Foreign Corrupt Practices Act (FCPA) investigations, cybersecurity incidents and insider trading matters. She also is well-versed in a variety of complex commercial litigation, including consumer class actions.

Sarah previously clerked for the Honorable Richard J. Leon, District Judge for the US District Court for the District of Columbia. While in law school, she was a Notes Editor for the *Duke Law Journal.*

Representative Experience

- Represented a global media company in derivative litigation arising out of a merger.*
- Represented a technology company and certain officers and directors in connection with securities and derivative litigation.*

Practices

- Litigation
- Public Company Advisory
- Securities Enforcement Defense
- Securities Litigation
- White Collar and Internal Investigations

Industries

- Energy
- Finance and Financial Markets
- Life Sciences
- Technology

Education

- JD, Duke University School of Law, *magna cum laude*
- BA, Dartmouth College, *Phi Beta Kappa, summa cum laude*

Bar Admissions

- New York

Court Admissions

- US District Court, District of Colorado
- US District Court, District of Columbia
- US District Court, Eastern District of New York
- US District Court, Southern District of New York
- US Court of Appeals, Sixth Circuit

Professional & Community Involvement

- Litigation Counsel of America, Fellow

- Represented a global financial institution in Section 14(a) litigation arising out of its merger with another bank.*
- Represented the Board of a major utility company in derivative litigation.*
- Represented an aerospace and defense technology company in securities, derivative, and ERISA litigation as well as in a related SEC investigation.*
- Represented a commercial bank in residential mortgage-backed securities actions.*
- Represented a mortgage loan provider in residential mortgage-back securities actions.*
- Represented the Board of a Fortune 50 company in connection with stockholder derivative demands regarding oil reserves.*
- Represented a multi-billion dollar asset manager in a cross-border investigation focused on disclosure and trading practices.*
- Represented a healthcare technology company in Section 14(a) litigation concerning its proposed merger with an insurance company.*
- Represented an investment management firm in bankruptcy matters arising out of investments made with Bernard Madoff.*
- Represented the Board of a non-profit organization in an investigation concerning the use of donor funds.*
- Represented an organic and natural products company with an SEC investigation into accounting practices.*
- Represented the Board of a large software company in connection with an internal investigation.*

*Experience prior to Katten

Recognitions

Recognized or listed in the following:

- *Benchmark Litigation*
  - Under 40 Hotlist, 2021
- *Super Lawyers Rising Stars*
  - New York, 2023
- *Chambers USA*
  - Litigation: Securities, 2024–2026

Sarah Eichenberger

Partner

- *The Legal 500 United States*
  - Recommended Attorney, 2025-2026

News

- Sarah Eichenberger on Board Oversight for Special Committee Investigations in *Risk & Compliance Magazine* (June 26, 2026)
- Katten Earns Top Rankings in the Legal 500 US 2026 Guide (June 10, 2026)
- Katten Climbs in *Chambers USA* 2026 Rankings (June 4, 2026)
- The Litigation Counsel of America Selects Sarah Eichenberger as a Fellow (March 4, 2026)
- Katten Receives High Marks in the Legal 500 US 2025 Guide (June 11, 2025)
- *Chambers USA* 2025 Ranks Katten as Leading Law Firm (June 5, 2025)
- Katten Receives High Marks in *Chambers USA* Guide 2024 (June 6, 2024)
- Katten Attorneys Named to 2023 New York Super Lawyers, Rising Stars Lists (September 29, 2023)
- Katten Expands NYC Securities Litigation Group with Sarah Eichenberger (October 17, 2022)

Publications

- Financial Markets and Funds *Quick Take* | Issue 51 (June 2026)
- Financial Markets and Funds *Quick Take* | Issue 43 (October 30, 2025)
- Rebutting Price Impact In Securities Class Actions (September 16, 2025)
- The Post-Macquarie Securities Fraud-By-Omission Landscape (February 6, 2025)
- Tenth Circuit Court of Appeals Affirms Dismissal of Putative Securities Class Action Brought by Short Seller (November 2024)
- Eastern District of Michigan Applies Supreme Court's Decision in *Goldman* to Deny Class Certification in Securities Fraud Litigation (October 2024)
- *ESG Guidepost* | Issue 13 (April 2024)

- Financial Markets and Funds *Quick Take* | Issue 23 (February 2024)
- Guest Post: Supreme Court Considers Whether Pure Omissions Can Support Section 10(b) Liability | *The D&O Diary* (February 6, 2024)
- Risk Factor Disclosures Should Not Create More Risk for Companies (February 17, 2023)
- Financial Markets and Funds Quick Take | Issue 11 (February 21, 2023)
- Artificial Intelligence: The New Frontier for Board Oversight? (December 20, 2022)
- DOJ Reverses Course on Definition of 'Property' for Fraud on Blaszczak Remand | NYU School of Law *Compliance & Enforcement* blog (April 15, 2021)
- Maximizing Protection Over Sensitive Cyber Forensic Reports in Light of Recent Disclosure Orders | *New York Law Journal* (March 22, 2021)

Presentations and Events

- Audit Committees, Special Committees, and Internal Investigations 2025 (January 9, 2025) | *Panelist* | *Evolving Legal Ethics Issues and Challenges for Audit Committee and Special Committee Members and Advisors*
- Audit Committees, Special Committees, and Internal Investigations 2024 (January 11, 2024) | *Panelist*
- Chubb Preferred Securities Panel Counsel Conference (June 27, 2023) | *Panelist*
- Audit Committees, Special Committees, and Internal Investigations 2023 (January 12, 2023) | *Panelist*
- C-Suite Summit 2022 (October 18, 2022) | *Moderator*