



# Robert Bourret

## Associate

### Financial Markets and Funds

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Robert Bourret provides regulatory and general legal counsel to securities broker-dealers, commodities market participants and other financial services industry participants. He also helps financial industry clients in both corporate and transactional matters, including advising on fund formation, restructurings and joint ventures.

#### Helping clients through a diverse background of experience

As the former campaign manager for Congressman Bill Foster, Robert brings a unique work background to assist clients. While in law school, Robert served as the special projects editor of the *Duke Law Journal*, co-authored an article that appeared in the 84th volume of the *Ohio State Law Journal*, and published an article in the Duke University *FinReg* blog.

#### Publications

- So You Want to Apply to Become a CFTC-Registered Designated Contract Market (DCM)? Here's What You Should Know (November 20, 2025)
- Clear Skies Ahead? Predicting the Use of the Floor Trader Exemption in Event Contract Markets to Avoid Swap Dealer Registration (October 23, 2025)
- Financial Markets and Funds *Quick Take* | Issue 42 (September 2025)
- Financial Markets and Funds *Quick Take* | Issue 41 (August 2025)
- A Brave New World: The U.S. Supreme Court Torpedoes the "Administrative State" | *The Banking Law Journal* (October 2024)
- SEC Revises Tick Size, Access Fees and Round-Lot Definition and Takes Steps to Disseminate Odd-Lot and Other Better Priced Orders (September 23, 2024)
- Financial Markets and Funds *Quick Take* | Issue 28 (July 2024)
- A Brave New World: The Supreme Court Torpedoes the 'Administrative State' (July 12, 2024)

#### Practices

- Financial Markets and Funds

#### Industries

- Finance and Financial Markets

#### Education

- JD, Duke University School of Law, *cum laude*
- BS, Northwestern University, *cum laude*

#### Bar Admissions

- Illinois

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- Financial Markets and Funds *Quick Take* | Issue 27 (June 2024)
- The Lesson of Prometheus – A Special Purpose Broker Dealer May Cause Havoc in TradFi by Unilaterally Declaring ETH To Be A Security (March 11, 2024)
- SEC Significantly Broadens "Dealer" Definition (February 23, 2024)
- Financial Markets and Funds *Quick Take* | Issue 23 (February 2024)
- DeFi's Decentralization Myth | The *FinReg* blog (April 17, 2023)
- Fractionalizing Investment Securities: Using FinTech to Expand Financial Inclusion | *Ohio State Law Journal*, Vol. 84 (March 16, 2023)

## Presentations and Events

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- A Brave New World: The Supreme Court Torpedoes the 'Administrative State' (July 30, 2024) | *Panelist*