

Anna Easter

Staff Attorney

Financial Markets Litigation and Enforcement

Chicago Office |

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Anna Easter represents clients in enforcement proceedings involving the Commodity Futures Trading Commission (CFTC), the Securities and Exchange Commission (SEC) and various self-regulatory organizations (SROs). She also counsels financial institutions, exchanges, clearinghouses, brokers, dealers, advisors and trading firms in the derivatives and securities industry on a range of regulatory and compliance issues.

Regulatory insight from both sides of the table

With more than 15 years of experience in the derivatives and securities industry, Anna has developed a keen understanding of the financial markets and their regulatory requirements. As a former regulator with the National Futures Association (NFA), she was responsible for bringing disciplinary actions against Members who violated NFA rules.

Anna has also represented firms and individuals in investigations and enforcement actions brought by the SEC, CFTC and various SROs, involving disruptive trading practices, fraud, anti-money laundering, risk management, recordkeeping and supervisory failures, among other matters. She has also counseled clients on novel regulatory questions involving derivatives, as well as registration and regulatory obligations under the Commodity Exchange Act and CFTC and NFA rules.

Practices

- Financial Markets Litigation and Enforcement
- Cryptoassets and Blockchain Technology
- Financial Markets and Funds
- Futures and Derivatives
- Regulated Funds
- Litigation
- Securities Enforcement Defense
- White Collar and Internal Investigations

Industries

- Finance and Financial Markets

Education

- JD, Northwestern Pritzker School of Law
- BA, Michigan State University

Bar Admissions

- Illinois
- New York