

SIFMA Compliance & Legal Society New York Regional Seminar

Presented by SIFMA

November 1, 2017

Christian T. Kemnitz, co-head of the firm's Financial Services Litigation practice, will serve as a keynote speaker alongside James McDonald, Director, Division of Enforcement at the U.S. Commodity Futures Trading Commission and Steven Schwartz, Senior Director, Regional Head of Enforcement at CME Group at the upcoming SIFMA C&L Society New York Regional Seminar. The seminar will cover a variety of legal and compliance topics, including:

- Corporate and Investment Bank Current Issues
- Current Issues in Wealth Management & Investment Advisory
- Washington Update
- Sales & Trading
- Hot Topics in Compliance
- Ethics
- Enforcement

For more information, please click [here](#).

CONTACTS

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