Katten

SPEAKING ENGAGEMENT



40th Annual Law & Compliance Division Conference

Presented by FIA

May 2–4, 2018

Four Katten attorneys will participate in the 40th Annual FIA Law & Compliance Division Conference.

Gary DeWaal, special counsel in the Financial Services practice, will moderate the "Cryptocurrencies Regulation" session at 3:30 p.m. (ET) on Wednesday, May 2. The panel will address the legal significance and functional characteristics of cryptocurrencies, what type of activities and participants may implicate different regulators in the United States today, and compliance requirements. They also will explore how different regulators have enforced laws and rules related to cryptocurrencies and how relevant regulation may evolve, including discussion of the CFTC's recent proposed interpretation of "actual delivery" in the context of retail commodity transactions in virtual currency.

London-based Financial Services partner Nathaniel Lalone will participate as a speaker in the "MiFID II Status & EMIR Review" panel at 10:45 a.m. (ET) on Thursday, May 3. The panel will discuss the landing of MiFID II, delving into unresolved questions following the passing of the effective date for most items.

Christian Kemnitz, co-head of the Financial Services Litigation practice, will speak on the "Enforcement: CFTC, NFA, SRO Enforcement and Exams" panel at 3:45 p.m. (ET) on Thursday, May 3. The panel will provide an overview of the most recent enforcement actions and litigation in the industry. It will focus on significant enforcement developments, including actions by the Commission relating to virtual currency and by exchanges regarding disruptive trading, as well as discussing challenges of monitoring for prohibited trading activities such as spoofing.

Kevin Foley, partner in the Financial Services practice, will speak on the "Financial and Other Reporting Obligations" panel at 3:45 p.m. (ET) on Thursday, May 3. The session will include discussion from regulators about the purposes and objectives behind financial and risk reporting obligations, and will explore such obligations under the new Swap Dealer capital rules, as well as discussing significant risks and pitfalls posed by those requirements.

For more information on the FIA's Law & Compliance Division Conference, click here.

CONTACTS

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