Katten

WEBINAR

Key Takeaways: SEC OCIE and FINRA 2019 Regulatory Priorities

Presented by Katten Muchin Rosenman LLP

February 19, 2019

Katten will host the "Key Takeaways: SEC OCIE and FINRA 2019 Regulatory Priorities" webinar at 1:00 p.m. (CT) on Tuesday, February 19. Chicago Financial Services co-head Janet Angstadt, Financial Services partners Susan Light and Richard Marshall, and Financial Services special counsel Michael Foley will serve as presenters. The webinar will cover key takeaways from the SEC's recently issued 2019 examination priorities and from FINRA's 2019 risk monitoring and examination priorities letter.

CONTACTS

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