

SPEAKING ENGAGEMENT

SIFMA C&L Annual Seminar

Presented by SIFMA

March 25, 2019

Christian T. Kemnitz, co-head of the firm's Financial Services Litigation practice, will serve as a panelist for "MB: Investment Banking and Capital Markets Enforcement Issues and Developments," a session at 12:15 p.m. (MT) during the SIFMA C&L Annual Seminar on Monday, March 25. Topics to be discussed include:

- SEC enforcement focus – securities and initial token offerings, registration issues, insider trading
- CFTC enforcement priorities and trends, including manipulation, spoofing and the status of cooperation programs
- DOJ activity in trading and market matters: spoofing, antitrust, FX and other benchmarks
- FINRA: scrutiny of AML programs, low price securities and other issues

Additional information can be found here <https://www.sifma.org/event/clannual/>

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



Christian T. Kemnitz

+1.312.902.5379

christian.kemnitz@katten.com

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion.

©2026 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at [katten.com/disclaimer](https://www.katten.com/disclaimer).