

## Breaking Down the SEC/FINRA Joint Statement on Custody of Digital Assets—No FUD as Long as You Don't HODL

**Presented by Katten Muchin Rosenman LLP**

July 24, 2019

Katten will present the "Breaking Down the SEC/FINRA Joint Statement on Custody of Digital Assets—No FUD as Long as You Don't HODL" webinar at 11:00 a.m. (ET) on Wednesday, July 24. Financial Services partner Susan Light, and special counsel Gary DeWaal and Cathy Yoon, will present the webinar along with Laurian Cristea, general counsel of ErisX. They will discuss the July 8 joint statement by the US Securities and Exchange Commission (SEC)'s Division of Trading and Markets and the Financial Industry Regulatory Authority (FINRA) that outlined factors the two regulators will consider when evaluating applications by registrants who propose to handle cryptosecurities in order to ensure compliance with the SEC's customer protection rule, and how the US Commodity Futures Trading Commission (CFTC)'s insight could be applied to broker-dealers.

For more information, contact [Nicole Stephens](#).

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### CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



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