

Trading Practices — A 2020 Update

Presented by Katten

February 19, 2020

Katten will present the "Trading Practices — A 2020 Update" program at 5:00 p.m. on Wednesday, February 19. Financial Markets Litigation and Enforcement partners Christian Kemnitz and Brian Poronsky and Financial Markets and Funds special counsel Gary DeWaal will join exchange regulatory leaders from CME Group, ICE Futures U.S. and Cboe Futures to discuss the latest developments regarding disruptive trading (including spoofing), wash trades, pre-arranged trades and other trade practice offenses as well as block trades and EFRPs, and how most effectively to deal with exchange and CFTC inquiries. The recently released CFTC proposed position limit rules will also be briefly discussed. The exchange leaders are Jason Fusco, Assistant General Counsel – Market Regulation, ICE Futures U.S.; Tom LaSala, Managing Director & Global Chief Regulatory Officer, CME Group; and Stephanie Marrin, Vice President and Deputy Chief Regulatory Officer, Cboe.

For more information, contact [Nicole Stephens](#).

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



Christian T. Kemnitz

+1.312.902.5379

christian.kemnitz@katten.com



Brian J. Poronsky

+1.312.902.5611

brian.poronsky@katten.com