Katten





SPEAKING ENGAGEMENT

Regulatory Horizon 2020: The Challenges Ahead

Presented by ACA Compliance Group

February 12, 2020

Financial Markets and Funds partner Neil Robson will serve as a panelist for the "Managing your Key Compliance Risks (2): Surveillance and Reporting" session on Wednesday, February 12 at 10:00 a.m. at the Regulatory Horizon 2020: The Challenges Ahead conference. The panel will discuss challenges posed by regulatory expectations in relation to surveillance of trading activity and transaction/trade reporting.

Additional topics include:

- Market abuse surveillance
- Transaction reporting

To learn more about the Regulatory Horizon 2020: The Challenges Ahead conference, click here.

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



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