Katten

WEBINAR



How to Survive A Regulatory Inspection, With Special Focus on Form CRS and Regulation BI

Presented by Katten

May 7, 2020

Katten will present the "How to Survive A Regulatory Inspection, With Special Focus on Form CRS and Regulation BI" webinar at 1:00 p.m. (ET) on Thursday, May 7. Financial Markets and Funds partners David Dickstein, Susan Light and Richard Marshall will discuss key topics in surviving the interactions with the regulators that are among the most important events in the life of a regulated entity, including:

- The six rules for surviving an inspection
- Handling difficult inspection issues
- Current inspection priorities and how to address them

For more information, contact Katten Webinar Support.

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



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