Katten

WEBINAR

Market Correction Curriculum: Ponzi Schemes: Dealing With Regulatory and Litigation Risks Exposed by Market Downturns

Presented by Katten

June 2, 2020

Katten will present “Ponzi Schemes: Dealing With Regulatory and Litigation Risks Exposed by Market Downturns,” the fourth in its Market Correction Curriculum webinar series, at 12:00 p.m. (ET) on Tuesday, June 2. Securities Litigation partner Mike Lohnes, and Litigation partner Anthony Paccione and associate Zachary Schmitz, will discuss:

- The regulatory requirements and best practices for implementing supervisory systems and procedures designed to identify Ponzi schemes
- Responding to regulatory inquiries, affirmative disclosure obligations and recent enforcement matters imposing fines on entities for failing to implement adequate supervisory systems to detect unusual client activity
- The civil litigation risks for firms who unwittingly may become entangled in a Ponzi scheme, including common claw back claims brought by trustees, receivers and victims and defenses thereto

For more information, please contact Katten Webinar Support.

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.

Michael J. Lohnes
+1.312.902.5341
michael.lohnes@katten.com

Zachary M. Schmitz
+1.312.902.5327
zachary.schmitz@katten.com

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