Katten

WEBINAR



Market Correction Curriculum: Ponzi Schemes: Dealing With Regulatory and Litigation Risks Exposed by Market Downturns

Presented by Katten

June 2, 2020

Katten will present “Ponzi Schemes: Dealing With Regulatory and Litigation Risks Exposed by Market Downturns,” the fourth in its Market Correction Curriculum webinar series, at 12:00 p.m. (ET) on Tuesday, June 2. Securities Litigation partner Mike Lohnes, and Litigation partner Anthony Paccione and associate Zachary Schmitz, will discuss:

- The regulatory requirements and best practices for implementing supervisory systems and procedures designed to identify Ponzi schemes
- Responding to regulatory inquiries, affirmative disclosure obligations and recent enforcement matters imposing fines on entities for failing to implement adequate supervisory systems to detect unusual client activity
- The civil litigation risks for firms who unwittingly may become entangled in a Ponzi scheme, including common claw back claims brought by trustees, receivers and victims and defenses thereto

For more information, please contact Katten Webinar Support.

CONTACTS

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