

## Third Annual Private Equity Enforcement Update

**Presented by Katten**

July 30, 2020

Katten will present the “Third Annual Private Equity Enforcement Update” at 2:00 p.m. (ET) on Thursday, July 30. Financial Markets and Funds partner Richard Marshall and special counsel Mark Goldstein will cover:

- New SEC guidance on private fund compliance deficiencies
- Recent SEC cases involving fees and expenses and inadequate compliance procedures
- The return of broken windows enforcement
- Current inspection focus on private funds
- Recent learning on valuation issues

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### CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



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