

## Law & Compliance Division Conference

**Presented by the Futures Industry Association (FIA)**

October 7–9, 2020

Two Katten attorneys will participate in the FIA Law & Compliance Division Conference on Wednesday, October 7–Friday, October 9.

Gary DeWaal, special counsel in the Financial Markets and Funds practice, will moderate the "Fintech and Advanced Crypto Issues for Non-Techies (and Techies too)" discussion at 9:30 a.m. (ET) on Thursday, October 8. The panel will explore innovations in fintech and their legal and compliance implications, considering the application of artificial intelligence including robo advisors in the derivatives space, blockchain applications to support businesses other than for bitcoin trading, and analytic issues around the use of crypto assets other than bitcoin, such as stablecoins and virtual assets that represent securities.

Carl Kennedy, partner in the Financial Markets and Funds practice, will participate in the "Ethics" panel at 9:30 a.m. (ET) on Friday, October 9. The panel will explore potential ethical issues associated with events in 2020 from the perspective of attorneys representing participants in the futures and swaps markets. Speakers will discuss topics including ethical issues that might arise from remote working environments and other pandemic-related changes in business functions, from current events in society at large, and from situations described in recent CFTC enforcement actions.

[Learn more about the FIA Law & Compliance Division Conference.](#)

---

### CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



**Carl E. Kennedy**

+1.212.940.8544

[carl.kennedy@katten.com](mailto:carl.kennedy@katten.com)

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion.

©2026 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at [katten.com/disclaimer](https://www.katten.com/disclaimer).