# Katten

### **WEBINAR**



## Trade Practices 2021: Law and Regulation

### **Presented by Katten**

March 9, 2021

Katten will present the "Trade Practices 2021: Law and Regulation" webinar at 3:00 p.m. (ET) on Tuesday, March 9. Financial Markets and Funds partners Daniel Davis and Carl Kennedy, along with Litigation partner Brian Poronsky, will moderate the panel of exchange regulatory leaders that includes Sarah McDowell, chief enforcement counsel, CBOE Futures; Erin Middleton, manager of Rules & Regulatory Outreach, CME Group; Vito Naimoli, chief regulatory officer, ICE Futures U.S. Market Regulation; Phil Redman, head of Exchange Compliance, ICE Futures Europ; and Steve Schweitzer, senior director, enforcement counsel, CME Group.

They will discuss the latest developments at the US Commodity Futures Trading Commission (CFTC), CME Group, ICE Futures Europe, ICE Futures U.S. and CBOE Futures regarding disruptive trading (including spoofing), wash trades, pre-arranged trades and other trade practice offenses, and how most effectively to deal with exchange and CFTC inquiries.

Register for the "Trade Practices 2021: Law and Regulation" webinar.

#### **CONTACTS**

For more information, contact your Katten attorney or any of the following attorneys.



Daniel J. Davis +1.202.625.3644 daniel.davis@katten.com



Carl E. Kennedy +1.212.940.8544 carl.kennedy@katten.com



Brian J. Poronsky +1.312.902.5611 brian.poronsky@katten.com

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion. ©2025 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at <a href="katten.com/disclaimer">katten.com/disclaimer</a>.