

Corporate Monitorships: How You Can Avoid Them, and What to Do If You Can't

Presented by the Knowledge Exchange Network (KENX)

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Gil Soffer, Chicago office managing partner and Litigation co-chair, will present "Corporate Monitorships: How You Can Avoid Them, and What to Do If You Can't" at 2:00 p.m. (ET) on Wednesday, May 19. He will explore topics on corporate monitorships, including:

- Why and when the US Department of Justice (DOJ) and other regulators require companies to engage a corporate monitor
- How compliance efforts can reduce the odds that the DOJ will impose a monitorship on a company
- What the DOJ and US Securities and Exchange Commission (SEC) are looking for in corporate compliance
- How to perform an adequate risk assessment
- Best practices in managing high-risk third-party relationships
- The government's compliance expectations concerning mergers and acquisitions
- How the DOJ views the compliance role of senior and middle management
- The importance of discipline, and publicizing of discipline, to an effective compliance program
- The importance of Internal Audit to a well-functioning compliance program
- How to manage the relationship with a monitor if one is imposed

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



Gil M. Soffer

+1.312.902.5474

gil.soffer@katten.com

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