



## Corporate Monitorships: How You Can Avoid Them, and What to Do If You Can't

**Presented by the Knowledge Exchange Network (KENX)**

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Gil Soffer, Chicago office managing partner and Litigation co-chair, will present "Corporate Monitorships: How You Can Avoid Them, and What to Do If You Can't" at 2:00 p.m. (ET) on Wednesday, May 19. He will explore topics on corporate monitorships, including:

- Why and when the US Department of Justice (DOJ) and other regulators require companies to engage a corporate monitor
  - How compliance efforts can reduce the odds that the DOJ will impose a monitorship on a company
  - What the DOJ and US Securities and Exchange Commission (SEC) are looking for in corporate compliance
  - How to perform an adequate risk assessment
  - Best practices in managing high-risk third-party relationships
  - The government's compliance expectations concerning mergers and acquisitions
  - How the DOJ views the compliance role of senior and middle management
  - The importance of discipline, and publicizing of discipline, to an effective compliance program
  - The importance of Internal Audit to a well-functioning compliance program
  - How to manage the relationship with a monitor if one is imposed
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## CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



**Gil M. Soffer**

+1.312.902.5474

[gil.soffer@katten.com](mailto:gil.soffer@katten.com)