Katten

WEBINAR



Corporate Monitorships: How You Can Avoid Them, and What to Do If You Can't

Presented by the Knowledge Exchange Network (KENX)

May 19, 2021

Gil Soffer, Chicago office managing partner and Litigation co-chair, will present "Corporate Monitorships: How You Can Avoid Them, and What to Do If You Can't" at 2:00 p.m. (ET) on Wednesday, May 19. He will explore topics on corporate monitorships, including:

- Why and when the US Department of Justice (DOJ) and other regulators require companies to engage a corporate monitor
- How compliance efforts can reduce the odds that the DOJ will impose a monitorship on a company
- What the DOJ and US Securities and Exchange Commission (SEC) are looking for in corporate compliance
- How to perform an adequate risk assessment
- Best practices in managing high-risk third-party relationships
- The government's compliance expectations concerning mergers and acquisitions
- How the DOJ views the compliance role of senior and middle management
- The importance of discipline, and publicizing of discipline, to an effective compliance program
- The importance of Internal Audit to a well-functioning compliance program
- How to manage the relationship with a monitor if one is imposed

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



Gil M. Soffer
+1.312.902.5474
gil.soffer@katten.com

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion.

©2026 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at katten.com/disclaimer.