Katten

WEBINAR

The New Investment Adviser Marketing Rule: Everything You Need to Know

Presented by the Loan Syndications & Trading Association (LSTA)

May 11, 2021

Three Katten attorneys will present "The New Investment Adviser Marketing Rule: Everything You Need to Know" at 4:00 p.m. (ET) on Tuesday, May 11. Financial Markets and Funds partners David Dickstein, Richard Marshall and Amanda Segal will discuss the significant amendments that the SEC has adopted to its existing rules under the Investment Advisers Act governing advertising by investment advisers and cash payments to solicitors, and the resulting single rule — Rule 206(4)-1 — which more comprehensively addresses requirements and prohibitions relating to investment adviser marketing and solicitation activities.

Learn more about "The New Investment Adviser Marketing Rule: Everything You Need to Know."

CONTACTS

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