Katten

SPEAKING ENGAGEMENT



2021 Legal & Legislative Issues Conference

Presented by the Financial Markets Association (FMA)

October 21–22, 2021

Two Katten Financial Markets and Funds partners will participate in the 2021 Legal & Legislative Issues Conference on October 21–22. At 4:55 p.m. on Thursday, October 21, Carl Kennedy will moderate the "Derivatives" panel, which will:

- Examine implementation challenges with CFTC and SEC Dodd-Frank swap/security-based swap regulations
- Discuss position limits implementation
- Review readiness for CFTC swap reporting regulation amendments
- Evaluate implementation of CFTC swap dealer capital rules

At 9:10 a.m. on Friday, October 22, Dan Davis will moderate the "Securities General Counsels" panel, which will:

- Discuss key regulatory priorities
- Provide updates on current rulemaking and coordination efforts
- Analyze trends in enforcement and compliance and supervisory controls

Learn more about the 2021 Legal & Legislative Issues Conference.

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



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