

## 2021 Legal & Legislative Issues Conference

**Presented by the Financial Markets Association (FMA)**

October 21–22, 2021

Two Katten Financial Markets and Funds partners will participate in the 2021 Legal & Legislative Issues Conference on October 21–22. At 4:55 p.m. on Thursday, October 21, Carl Kennedy will moderate the "Derivatives" panel, which will:

- Examine implementation challenges with CFTC and SEC Dodd-Frank swap/security-based swap regulations
- Discuss position limits implementation
- Review readiness for CFTC swap reporting regulation amendments
- Evaluate implementation of CFTC swap dealer capital rules

At 9:10 a.m. on Friday, October 22, Dan Davis will moderate the "Securities General Counsels" panel, which will:

- Discuss key regulatory priorities
- Provide updates on current rulemaking and coordination efforts
- Analyze trends in enforcement and compliance and supervisory controls

[Learn more about the 2021 Legal & Legislative Issues Conference.](#)

---

### CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



**Carl E. Kennedy**

+1.212.940.8544

[carl.kennedy@katten.com](mailto:carl.kennedy@katten.com)



**Daniel J. Davis**

+1.202.625.3644

[daniel.davis@katten.com](mailto:daniel.davis@katten.com)

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion.

©2025 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at [katten.com/disclaimer](https://katten.com/disclaimer).