



The CFTC's Evolving Application of its Fraud-Based Manipulation Law and Regulatory Provisions

Presented by the Futures Industry Association (FIA)

December 2, 2021

Five Katten attorneys will speak on “The CFTC's Evolving Application of its Fraud-Based Manipulation Law and Regulatory Provisions” at 10:00 a.m. (ET) on Thursday, December 2. Financial Markets and Funds partners Daniel Davis and Carl Kennedy, Financial Markets Litigation and Enforcement partner and chair Christian Kemnitz and partner Matthew Haws, and Financial Markets and Regulation chair Gary DeWaal will explore the CFTC's expanding application of the anti-manipulation provisions of Dodd-Frank into novel territory. They will focus on recent enforcement actions under Section 6(c)(1) of the Commodity Exchange Act and CFTC Regulation 180.1, and how the CFTC has taken a very broad view of its anti-manipulation authority and sought to punish a wide range of alleged misconduct that bears little resemblance to traditional market manipulation or commodities fraud, in the interactive webinar.

[Learn more about “The CFTC's Evolving Application of its Fraud-Based Manipulation Law and Regulatory Provisions.”](#)

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



Daniel J. Davis

+1.202.625.3644

daniel.davis@katten.com



Christian T. Kemnitz

+1.312.902.5379

christian.kemnitz@katten.com



Carl E. Kennedy

+1.212.940.8544

carl.kennedy@katten.com