Katten

WEBINAR



Derivatives and Futures Law Committee Virtual Winter Meeting 2022

Presented by the American Bar Association (ABA)

January 26-28, 2022

Three Katten Financial Markets and Funds partners will participate in the ABA Derivatives and Futures Law Committee Virtual Winter Meeting 2022 on Thursday, January 27. At 9:25 a.m. (ET), Carl Kennedy will give opening remarks, serving as day chair for the event. At 10:45 a.m. (ET), Carolyn Jackson will chair the "Swap Dealers and Security-Based Swap Dealers" panel discussion, with topics including:

- Identification of Principals, General APs and Effecting APs — How Should an SBSD Draw the Line?
- Dual-Hatted Employees
- Partial Use of SEC Reporting Relief
- Areas of CFTC and SEC Divergence and Implications
- The Latest From ISDA
- Follow Up on Registration

At 2:30 p.m. (ET), Dan Davis will serve as a panelist in the "Developments in Retail Markets" discussion on how 2021 as "the year of the retail investor" has impacted the derivatives and futures markets, with topics including:

- Retail-Focused Exchanges/Clearinghouses
- New Products, Including Event Contracts, Cryptocurrency Contracts, Gaming Contracts
- The Potential Impact of Social Media

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



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