

Off-Exchange Futures, Off-SEF Swaps and Assorted Trade Practice Issues: The Regulators' Perspective

Presented by Katten

June 29, 2022

Katten will present "Off-Exchange Futures, Off-SEF Swaps and Assorted Trade Practice Issues: The Regulators' Perspective" at 12:00 p.m. (ET) on Wednesday, June 29. Financial Markets and Regulation chair Gary DeWaal, and Financial Markets and Funds partners Carl Kennedy and Stephen Morris, will join representatives from Tradeweb, the Chicago Mercantile Exchange Group, and the Commodity Futures Trading Commission's Division of Enforcement for a hybrid panel discussion both in Katten's New York offices and virtually via Zoom. Topics will include an overview of the rules governing off-exchange futures and block trades in swaps, as well as recent trends in disruptive trading surveillance and enforcement.

To learn more or register, contact [Katten Event Support](#).

[View the "Block Trades, EFRPs and Assorted Other Trade Practice Issues: A Practical Guide of Current Status" advisory.](#)

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



Stephen R. Morris

+1.212.940.6654

stephen.morris@katten.com



Carl E. Kennedy

+1.212.940.8544

carl.kennedy@katten.com

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion.

©2026 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at katten.com/disclaimer.