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WEBINAR

DOJ and SEC's New Emphasis on Individual Enforcement—More Bark than Bite?

Presented by Katten and the Texas General Counsel Forum

January 19, 2023

Katten Litigation partners Johnjerica Hodge, who heads the Corporate and Social Governance and Investigations group, and Ryan Meyer, will present "DOJ and SEC's New Emphasis on Individual Enforcement—More Bark than Bite?" at 12:00 p.m. (CT) on Thursday, January 19. The virtual CLE program will provide an overview of regulators' renewed rhetorical focus on individual accountability and an analysis of recent enforcement actions by the Department of Justice (DOJ) and Securities and Exchange Commission (SEC) to see whether the reality of enforcement actions is keeping pace with the rhetoric. Additionally, the presentation will address the new role and new risks for compliance personnel in DOJ settlement agreements.

Learn more about the "DOJ and SEC's New Emphasis on Individual Enforcement—More Bark than Bite?" program.

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



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