Katten



SPEAKING ENGAGEMENT

Understanding Financial Products 2023

Presented by the Practising Law Institute (PLI)

January 19, 2023

Allison Yacker, co-chair of the Investment Management and Funds practice, will speak on the "Hedge Funds and Other Private Funds" panel discussion on Thursday, January 19. Objectives of the discussion include:

- Learning how hedge funds are established and operated
- Analyzing Private Equity and Venture Capital
- Insight on the regulation of private funds and their advisers
- A review of SEC examination trends and enforcement focus
- Learning about FINRA considerations re: broker-dealer sales of private funds; hedge fund marketing
- A discussion of trends in hedge fund disputes and enforcement

<u>Learn more and access the on-demand recording of the Understanding Financial Products 2023</u> <u>program.</u>

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