Katten

WEBINAR



MFA Workshop: Advisers Act Marketing Rule Recent Developments and Ongoing Challenges

Presented by the Managed Funds Association (MFA)

July 24, 2023

David Dickstein, a partner in the Financial Markets and Funds practice, will participate in the "Advisers Act Marketing Rule Recent Developments and Ongoing Challenges" MFA virtual workshop at 11:00 a.m. (ET) on Monday, July 24. Speakers will discuss the number of challenges for advisers seeking to comply with implementation of the SEC's new Advisers Act Marketing Rule, now in effect for almost 9 months. They will cover some of the thorniest interpretive questions and ongoing debates, from attribution to substantiation, walk through post-November guidance from the SEC, including the June Risk Alert on the Marketing Rule, and discuss examination activity relating to compliance with the rule. Finally, the panelists will offer practical takeaways from these meaningful recent developments.

Learn more about the "MFA Workshop: Advisers Act Marketing Rule Recent Developments and Ongoing Challenges."

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



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