



Katten's Annual Financial Markets and Regulation Crystal Ball — A Look Back at 2023 and a Look Forward to 2024

Presented by Katten

February 1, 2024

Katten will present its "Annual Financial Markets and Regulation Crystal Ball Event" at 3:00 p.m. (ET) on Thursday, February 1, both in Katten's New York office and virtually via Zoom.

Several Katten Financial Markets and Funds attorneys will discuss their views of the most significant US financial regulatory and enforcement developments in 2023 and provide their predictions of 2024's most significant developments, with topics including, but not limited to:

- 2023 Review of the CFTC and NFA Regulatory and Enforcement Developments
- 2023 Review of SEC and FINRA Regulatory and Enforcement Developments
- Potential Impacts of the 2024 Presidential and Congressional Elections
- Increased Regulatory Focus on AI, Quantum Computing and Use of Predictive Data Analytics
- Crypto Updates: Secondary Market Trading and DeFi/DAO

The program will take place at 3:30 p.m. For those attending in person, cocktail reception will be held at 5:30 p.m.

Speakers will include Financial Markets and Regulation Co-Chairs Daniel Davis and Carl Kennedy; Partners and Broker-Dealer Regulation Co-Chairs Wayne Aaron and Susan Light; Financial Markets and Funds Senior Counsel Gary DeWaal; Counsel Adam Bolter; Partners James Brady, David Dickstein, Christian Hennion, Susan Light, Richard Marshall and Stephen Morris; and Associate Alexander Kim.

To learn more, contact [Rachel Hernandez](#).

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