



## Katten's First Impressions on the SEC's Amendments to The "Dealer" Definition

**Presented by Katten**

February 13, 2024

Katten will present the "First Impressions on the SEC's Amendments to The 'Dealer' Definition" webinar at 2:30 p.m. (ET) on Tuesday, February 13. Broker-Dealer Regulation Partners and Co-Chairs Wayne Aaron and Susan Light, and Financial Markets and Funds Partners James Brady and Richard Marshall, will explore the SEC's significant expansion of the dealer (and government securities dealer) definition under the Exchange Act. The webinar will offer crucial insights on the impact on key market participants, including proprietary trading firms, investment advisers, private funds and those who trade digital asset securities, and focus on the significant departure from established precedent on the dealer/trader distinction.

To learn more, contact [Mary Nardi](#).

[View the recording of this program.](#)

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### CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



**Wayne M. Aaron**

+1.212.940.6441

[wayne.aaron@katten.com](mailto:wayne.aaron@katten.com)



**James M. Brady**

+1.312.902.5362

[james.brady@katten.com](mailto:james.brady@katten.com)



**Susan Light**

+1.212.940.8599

[susan.light@katten.com](mailto:susan.light@katten.com)



**Richard D. Marshall**

+1.212.940.8765

[richard.marshall@katten.com](mailto:richard.marshall@katten.com)

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