

2024 Broker/Dealer Conference and Webcast

Presented by the Foundation for Accounting Education (FAE) of the New York State Society of CPAs (NYSSCPA)

May 21, 2024

Financial Markets and Funds Partner Richard Marshall will serve as a panelist during the "Legal Update" session during the NYSSCPA's 2024 Broker/Dealer Conference and Webcast on Tuesday, May 21 at 1:05 p.m. ET. Presented both in person and as a webcast, the panelists will share insight into recent litigation affecting broker-dealers and the strategies that were employed to resolve those issues. They also will share their views on the audit implications of recent broker-dealer legal cases.

[Learn more about the 2024 Broker/Dealer Conference and Webcast.](#)

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



Richard D. Marshall

+1.212.940.8765

richard.marshall@katten.com

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion.

©2026 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at katten.com/disclaimer.