Katten

FIRM EVENT



# SIFMA Corporate Actions Section Proxy Investor Educational Seminar

**Presented by the Securities Industry and Financial Markets Association**

June 23, 2010

Partner Frank Zarb will speak at the SIFMA Corporate Actions Section Proxy Investor Educational Seminar at 9:45 a.m. on Wednesday, June 23.

Topics that Mr. Zarb will discuss include:

- Proxy concerns faced by the SEC
- SEC expectations from broker-dealers
- Why client direct voting is good for clients and brokers

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion.

©2026 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at katten.com/disclaimer.