

SIFMA Corporate Actions Section Proxy Investor Educational Seminar

Presented by the Securities Industry and Financial Markets Association

June 23, 2010

Partner Frank Zarb will speak at the SIFMA Corporate Actions Section Proxy Investor Educational Seminar at 9:45 a.m. on Wednesday, June 23.

Topics that Mr. Zarb will discuss include:

- Proxy concerns faced by the SEC
- SEC expectations from broker-dealers
- Why client direct voting is good for clients and brokers

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