Katten

WEBINAR



Regulatory Developments for Asset Managers and Broker-Dealers

Presented by Katten

July 10, 2024

Katten's Financial Markets and Funds attorneys will present the "Regulatory Developments for Asset Managers and Broker-Dealers" webinar at 11:00 a.m. (ET) on Wednesday, July 10. The team will discuss recent developments and key focus areas for asset managers and broker-dealers.

Topics will include:

- Proposed Anti-Money Laundering Rules for Investment Advisers
- New Cybersecurity Rules for Investment Advisers and Broker-Dealers
- FINRA's Post-COVID Classification of Remote Workplaces and Internal Inspection Requirements
- Court Challenge Updates

Register for the "Regulatory Developments for Asset Managers and Broker-Dealers" webinar.

To learn more, contact Rachel Hernandez.

CONTACTS

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