Katten

SPEAKING ENGAGEMENT

Navigating the New Digital Frontier — Digital Assets, Prediction Markets, and the Investment Adviser's Playbook

Presented by the CFA Society North Carolina

October 13–14, 2026

Financial Markets and Funds Partner Michael Didiuk and Associate Alexander Kim, and Financial Markets Litigation and Enforcement Associate Alexa Warner will speak to the CFA Society North Carolina on "Navigating the New Digital Frontier — Digital Assets, Prediction Markets, and the Investment Adviser's Playbook" across three sessions in Charlotte, Winston-Salem and Raleigh.

The presentation will examine:

- The evolving Securities and Exchange Commission (SEC) and Commodity Futures Trading Commission (CFTC) regulatory framework for digital assets, including the CFTC's expanding oversight of prediction markets under the Commodity Exchange Act.
- Key considerations for investment professionals, including digital asset taxonomy, cryptocurrencies versus tokenized traditional assets, direct and indirect exposure options, custody and CFTC registration categories for event contract markets.
- The implications for an investment adviser's fiduciary duty under the Investment Advisers Act of 1940.
- Practical takeaways and an open question-and-answer session.

Sessions:

- Tuesday, October 13, 2026: 12:00 p.m. in Charlotte
- Wednesday, October 14, 2026: 8:00 a.m. in Winston-Salem; 12:00 p.m. in Raleigh

Learn about the CFA Society North Carolina.

CONTACTS

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