

Enforcement and Examination Priorities of Options Regulators

Presented by Katten Muchin Rosenman LLP

October 1, 2013

Katten will present “Enforcement and Examination Priorities of Options Regulators” at 4:45 p.m. on Tuesday, October 1.

Partners Janet Angstadt, David Goldberg and James Van De Graaff will discuss the Market Access Rule, Regulation SHO, registration issues, and the market making obligations and supervisory responsibilities of options regulators.

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



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