

Insider Trading, Advisory Contracts and ADV Delivery Requirements for Investment Advisers

Presented by National Regulatory Services

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Partner David Dickstein will participate in this webinar for chief compliance officers, ethics officers, compliance staff, management, legal counsel and auditors at 1:00 p.m. EDT on Thursday, October 24. The panel will discuss the development of insider trading law, recent insider trading cases involving securities professionals and elements of an effective insider trading policy and code of ethics.

For more information, click [here](#).

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



David Y. Dickstein

+1.212.940.8506

david.dickstein@katten.com