

## Insider Trading, Advisory Contracts and ADV Delivery Requirements for Investment Advisers

**Presented by National Regulatory Services**

October 24, 2013

Partner David Dickstein will participate in this webinar for chief compliance officers, ethics officers, compliance staff, management, legal counsel and auditors at 1:00 p.m. EDT on Thursday, October 24. The panel will discuss the development of insider trading law, recent insider trading cases involving securities professionals and elements of an effective insider trading policy and code of ethics.

For more information, click [here](#).

---

### CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



**David Y. Dickstein**

+1.212.940.8506

[david.dickstein@katten.com](mailto:david.dickstein@katten.com)

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion.

©2025 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at [katten.com/disclaimer](https://katten.com/disclaimer).