

Financial Regulatory Reform: Current Developments

Roundtable Discussion

December 3, 2009

Topics at the round table discussion will include:

- Regulation of OTC derivatives
 - Centralized clearing
 - Margin and capital requirements
 - Swap dealer registration and regulation
 - Major swap participant registration and regulation
- CFTC position limits, hedge and index exemptions
- OTC derivative reporting obligations
- SEC/CFTC harmonization
- Short selling, flash orders, market making and dark pools
- Regulation of investment advisers and private funds
- Heightened fiduciary standards for broker-dealers
- International implications

Location

Katten Muchin Rosenman LLP
19th Floor Conference Center
525 West Monroe Street
Chicago, Illinois

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