



## Financial Regulatory Reform: Current Developments

### Roundtable Discussion

December 3, 2009

Topics at the round table discussion will include:

- Regulation of OTC derivatives
  - Centralized clearing
  - Margin and capital requirements
  - Swap dealer registration and regulation
  - Major swap participant registration and regulation
- CFTC position limits, hedge and index exemptions
- OTC derivative reporting obligations
- SEC/CFTC harmonization
- Short selling, flash orders, market making and dark pools
- Regulation of investment advisers and private funds
- Heightened fiduciary standards for broker-dealers
- International implications

### Location

Katten Muchin Rosenman LLP  
19th Floor Conference Center  
525 West Monroe Street  
Chicago, Illinois

