## Katten





## Financial Regulatory Reform: Current Developments

December 3, 2009

Partners Janet Angstadt, Kevin Foley, Arthur Hahn and Lance Zinman will speak at this roundtable discussion on current developments in financial regulatory reform.

Topics at the presentation will include:

- Regulation of OTC derivatives
  - Centralized clearing
  - Margin and capital requirements
  - Swap dealer registration and regulation
  - Major swap participant registration and regulation
- CFTC position limits, hedge and index exemptions
- OTC derivative reporting obligations
- SEC/CFTC harmonization
- Short selling, flash orders, market making and dark pools
- Regulation of investment advisers and private funds
- Heightened fiduciary standards for broker-dealers
- International implications

## **CONTACTS**

For more information, contact your Katten attorney or any of the following attorneys.



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